

Republic of Namibia

Annotated Statutes

Controlled Wildlife Products and Trade Act 9 of 2008

(<u>GG 4190</u>) brought into force on 15 February 2012 by GN 31/2012 (<u>GG 4883</u>)

as amended by

Government Notice 94 of 2017 (GG 6291)

under the authority of section 11 of the Act; came into force on date of publication: 24 April 2017

Government Notice 122 of 2020 (GG 7221)

under the authority of section 11 of the Act; came into force on date of publication: 29 May 2020

Government Notice 254 of 2023 (GG 8173)

under the authority of section 11 of the Act; came into force on date of publication: 11 August 2023

ACT

To provide for the implementation of the Convention on International Trade in Endangered Species of Wild Fauna and Flora; and to provide for incidental matters.

(Signed by the President on 14 December 2008)

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SCHEDULE 1: CONTROLLED WILDLIFE PRODUCTS (Section 1)

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BE IT ENACTED by the Parliament of the Republic of Namibia as follows:

Definitions and interpretation

- 1. (1) In this Act unless the context indicates otherwise any word to which a meaning has been assigned by the Convention bears that meaning, and -
- "Appendix" means the relevant appendix to the Convention;
- "controlled wildlife product" means any animal or plant (or any portion thereof), as well as any product or substance derived from any plant or animal as set out in Schedule 1;
- "Convention" means the Convention on International Trade in Endangered Species of Wild Fauna and Flora, signed at Washington on 3 March, 1973, as amended in Bonn on 22 June 1979 and acceded to by Namibia on 18 December 1990, the text of which is set out in Schedule 2;
- "deal in" means sell, buy, offer or expose for sale or purchase, barter or offer as valuable consideration;
- "Minister" means the Minister responsible for environment;
- "Ministry" means the Ministry of Environment and Tourism;
- "staff member" means a staff member as defined in section 1 of the Public Service Act, 1995 (Act No. 13 of 1995);
- "this Act" includes the Convention as well as regulations made under section 9;
- (2) Any reference to "Article", and "Appendix" is construed to be a reference to that Article or Appendix of the Convention.
- (3) Any provision of this Act is construed with reference to the Convention and any provision of the Convention is deemed to be part of this Act and for that purpose -
 - (a) the Management Authority for Namibia is the Minister;
 - (b) the Scientific Authority for Namibia is the technical committee established by section 3;
 - (c) a species is deemed to be included in an Appendix only if it appears in the relevant column of Schedule 3 and also subject to such further qualifications as set out in the relevant entry or such further notes as may appear in that Schedule.

Relation with other laws

2. The prohibition of any action, the prohibition of the possession of any thing or the requirement for a permit or certificate to do anything or to possess anything are in addition to any prohibition or requirement contained in any other law.

Technical committee

- **3.** (1) A technical committee that must perform the duties and which has the powers assigned to the Scientific Authority by the Convention is hereby established.
 - (2) The technical committee consists of -
 - (a) two staff members from the Ministry;
 - (b) one staff member whose duties relate to veterinary services;
 - (c) one staff member whose duties relate to botanical research;
 - (d) one staff member from the Ministry of Fisheries and Marine Resources;
 - (e) one staff member whose duties relate to the National Museum.
- (3) The Minister must appoint the members of the technical committee on the recommendation of the Minister responsible for the ministry, office or agency where the relevant staff member is employed.
- (4) The members of the technical committee must be appointed for a period of three years and may be reappointed on the expiry of that period.
- (5) A member of the committee ceases to hold office if he or she ceases to be a staff member as contemplated in subsection (2).
 - (6) The Minister may remove a member of the committee, if in his or her opinion -
 - (a) the organisation of the public service has changed in such a manner or the person in question has been appointed in another post that has the effect that the appointment of the person on the committee concerned is no longer appropriate;
 - (b) the person concerned is for any other reason unable to effectively fulfil his or her duties as a member of the committee.
- (7) If a position on the committee becomes vacant as contemplated in subsection (5) or (6), the Minister may appoint a member to fill the vacant position and the new member holds the position for the unexpired portion of the term of office of the member in whose position he or she has been appointed.
 - (8) A quorum at a meeting of the committee is four members.
- (9) The Minister must appoint one of the members of the committee as the chairperson.
 - (10) The chairperson must preside at a meeting of the committee.

- (11) If the chairperson is not present at a meeting of the committee, the members must elect one of them to preside at that meeting.
- (12) Any decision of the committee is taken by a majority of the members present at a meeting of the committee, and in the case of an equality of votes, the person who presides at such a meeting has a casting vote as well as his or her deliberative vote.
 - (13) The committee must meet at least once a year.
- (14) The committee must meet at such times and places as the committee has determined or in the absence of such determination as the chairperson or the Minister has determined.
 - (15) The Minister may at any time call a meeting of the committee.
- (16) No decision of the committee is invalid only because there was a vacancy on the committee or because a person participated in the deliberations of the committee or voted while such person was not entitle to participate or vote if there was a quorum at the meeting concerned and a majority of the members present voted in favour of the decision in question.
- (17) Subject to the provisions of this Act, the committee may determine its own procedure.

Possession of and dealing with controlled wildlife products

- **4.** (1) Any person who -
- (a) possesses any controlled wildlife product the possession of which is unlawful in terms of Schedule 1;
- (b) deals in any controlled wildlife product if the dealing therein is unlawful in terms of Schedule 1;
- (c) manufactures anything from a controlled wildlife product if such manufacture is unlawful in terms of Schedule 1;
- (d) imports any controlled wildlife product if the import thereof is unlawful in terms of Schedule 1; or
- (e) exports any controlled wildlife product if the export thereof is unlawful in terms of Schedule 1,

commits an offence unless he or she has been issued with a permit contemplated in subsection (3) authorising the act in question and unless he or she complies with the conditions specified in the permit.

- (2) A person who has contravened subsection (1) is -
- (a) if he or she has only possessed the product as contemplated in subsection (1)(a), on conviction liable to a fine not exceeding N\$20 000 or imprisonment for a period not exceeding five years or to both such fine and such imprisonment;
- (b) if he or she has performed any action referred to in subsection (1)(b), (1)(c), (1)(d) or (1)(e), on conviction liable to a fine not exceeding N\$200 000 or to imprisonment for a period not exceeding 20 years or to both such fine and such imprisonment.

- (3) The Minister may issue a permit in the form determined by him or her authorising any action in respect of a controlled wildlife product.
- (4) The Minister may specify any class of action or class of product for which the permit in question is issued.
- (5) The Minister may impose such conditions as he or she considers necessary in order to achieve the objects of this Act when issuing a permit in terms of subsection (3), which conditions may include -
 - (a) requirements relating to the keeping of records;
 - (b) requirements relating to the marking of specific specimens or any requirements that will facilitate the identification of specific specimens;
 - (c) requirements relating to the registration of specific specimens.
- (6) The class of controlled wildlife products for which the permit is issued, the conditions subject to which the permit is issued, the period for which and the actions authorised by the permit, must be indicated on the permit in question.

Acts prohibited by Convention

- **5.** (1) No person may -
- (a) import;
- (b) export;
- (c) re-export; or
- (d) introduce from the sea,

whether to or from Namibia, in contravention of Article III, Article IV or Article V any specimen contemplated in those Articles, unless the certificates and permits contemplated in those Articles have been obtained or unless the actions concerned are exempt from the obtaining of such certificates or permits.

- (2) Any person who contravenes subsection (1) commits an offence and is on conviction liable to a fine not exceeding N\$200 000 or to imprisonment for a period not exceeding 20 years or to both such fine and such imprisonment.
- (3) The court convicting a person of a contravention of subsection (1) may impose an additional fine or make a compensation order in favour of the State as authorised by laws relating to criminal procedure to compensate the State for -
 - (a) all costs relating to the care or medical treatment of live specimens and the disposal thereof, whether incurred before the order or thereafter;
 - (b) all costs relating to the return of any specimen (whether alive or dead) to its country of origin;

(c) an amount equivalent to all costs incurred to restore a population of wildlife harmed through unlawful removal from the wild by the person convicted or commensurate with the impact of unlawful removal by the person convicted:

Provided that any costs referred to in paragraph (a) and (b) may also be recovered by civil suit from any person convicted of an offence relating to the specimen concerned, or from whom such specimen has been seized.

Inspectors

- **6.** (1) The Minister may appoint any staff member in the Ministry to be an inspector.
- (2) An inspector must be issued with a certificate of appointment signed by the Minister and he or she must on request produce such certificate when exercising any power under this Act.
- (3) A customs officer, police officer or nature conservator may exercise any power conferred upon an inspector by this Act, in addition to any other powers vesting in such officer by any other law.

[The word "officer" is misspelt in the Government Gazette in its second use in subsection (3), as reproduced above.]

(4) For the purposes of subsection (3) "customs officer" means an officer as defined in section 1 of the Customs and Excise Act, 1998 (Act No. 20 of 1998), "police officer" means a member of a police force established under any law, and "nature conservator" has the meaning assigned thereto by the Nature Conservation Ordinance, 1975 (Ordinance No. 4 of 1975).

Powers of inspectors

- 7. (1) An inspector may request any person who in his or her opinion possesses anything in respect of which a permit or certificate is required -
 - (a) to make such thing available for inspection;
 - (b) to produce the relevant permit or certificate.
- (2) An inspector may examine any consignment which he or she reasonably suspects of containing any specimen of a species referred to in Schedule 3 or any controlled wildlife product.
- (3) An inspector may search any premises that is not used as a private dwelling in order to determine whether any provision of this Act has been or is being contravened.
- (4) An inspector may request a police officer to accompany him or her while he or she is investigating any matter relating to this Act and the police officer may exercise any power vesting in that police officer in the presence of the inspector concerned: Provided that a police officer may not search a person of a different gender from the inspector concerned in the presence of that inspector.
- (5) An inspector may request a veterinarian to examine any live animal to determine any question relevant for the exercise of a discretion under this Act.
 - (6) An inspector may -

- (a) seize any specimen if the person in possession thereof does not produce the permit or certificate required by this Act in respect of that specimen;
- (b) seize anything used for, or in relation with the commission of any offence under this Act which includes a vehicle, firearm, trap, container, cage or other equipment associated with the hunting, transport, capturing, collecting, keeping, processing or manufacturing of the specimen concerned;
- (c) seize anything that may afford evidence of the commission of an offence under this Act;
- (d) take such samples from any specimen or anything relating to such specimen that may be relevant for the determination of a question relevant for the exercise of a discretion under this Act, or that may afford evidence for the commission of an offence under this Act:
- (e) examine any book, record, or document, as well as any computer system in which he or she reasonably believes anything relevant to an offence or duty contemplated in this Act is stored;
- (f) instruct any person to afford such assistance as is reasonably required to interpret or extract relevant information from such book, record, document or computer system;
- (g) put any question to any person that in the opinion of the inspector is necessary in order to exercise any discretion conferred by this Act or to clarify any matter in any record or book or with relation to any specimen.
- (7) When an inspector seizes anything in terms of this Act, he or she must issue a receipt for such item.
 - (8) Any person who -
 - (a) hinders or obstructs an inspector from exercising any power under this Act;
 - (b) refuses or fails to comply with any request made by an inspector under this Act without a reasonable excuse; or
 - (c) without a reasonable excuse, fails or refuses to answer a question put by an inspector under this Act,

commits an offence and is on conviction liable to a fine not exceeding N\$4 000 or imprisonment for a period not exceeding one year or to both such fine and such imprisonment.

Forfeiture

8. (1) Subject to subsection (2) the provisions of the Criminal Procedure Act, 1977 (Act No. 51 of 1977), relating to the seizure and the forfeiting to the State of a thing relating to an offence, by a police officer or peace officer including provisions relating to the forfeiture, handling and storage of anything seized under that Act, as well as the provisions relating to rights of third parties when anything is declared forfeited, is applicable to anything seized under this Act and a reference in that Act to a peace officer or police officer is construed as a reference to an inspector.

- (2) If the thing seized is a living plant or animal, the inspector may return such animal to its country of origin or release that plant or animal in an appropriate place in Namibia or deal therewith in any manner conducive to the well-being thereof, regardless of any rights in that thing that has not been conclusively determined but this subsection does not affect a claim of compensation for an unlawful seizure or disposal.
- (3) Anything forfeited to the State as contemplated in this section must, if circumstances permit -
 - (a) be returned to the country of export or origin of the specimen as appropriate and any cost incurred in relation thereto may be recovered from any person convicted of an offence under this Act if the offence relates to the specimen concerned;
 - (b) be deposited in an appropriate institution, collection or museum or otherwise disposed of in a manner that benefits conservation.

Regulations

- 9. (1) The Minister may make regulations -
- (a) prescribing the forms on which application must be made for permits or certificates required by this Act;
- (b) prescribing the procedures with which an applicant for a permit or certificate must comply;
- (c) prescribing any matter relating to the packaging of any specimen;
- (d) prescribing requirements with which persons who keep live specimens must comply in order to promote the well-being of the specimen concerned;
- (e) prescribing any procedure that must be followed when any animal, plant or any part thereof or specimen thereof is imported, exported or introduced from the sea;
- (f) prescribing that any person who possesses, deals in, manufactures, imports or exports any controlled wildlife product or specimen (whether that person requires a permit or not) must keep records in the prescribed form and manner;
- (g) prescribing the manner that a prescribed class of specimens may or must be marked, prohibiting the tampering with or the performance of any action with respect to such mark and prescribing any other matter relating to such mark;
- (h) prescribing fees for the issuing of permits, registration or other administrative actions connected with the implementation of this Act;
- (i) prescribing any matter that is necessary or expedient to prescribe in order to achieve the objects of this Act;
- (2) Regulations made under subsection (1) may create offences with a penalty that does not exceed a fine of N\$8 000 or two years imprisonment or both such fine and such imprisonment.

Delegation of powers

10. (1) Any power -

- (a) vesting in the Minister (except the power to make regulations);
- (b) vesting in the committee,

may be delegated to any staff member in the Ministry.

- (2) When a power is delegated under this section, the authority that delegates the power concerned, may delegate any class of the powers vesting in that authority under such conditions as may be expedient.
- (3) When powers are delegated under this section, the authority that delegates such powers, may issue directives circumscribing any issue with relation to the exercise of that power as may appear expedient.
 - (4) A delegation in terms of this section may at any time be withdrawn or amended.
- (5) The committee may assign the research of any technical question to any person (whether a member or not) under such conditions as may be agreed with that person.
- (6) A person to whom the research of a technical matter has been assigned as contemplated in subsection (5), must prepare a report on the research conducted to be submitted to the committee.
- (7) If the committee adopts the report referred to in subsection (6), it is deemed that the committee has conducted the research in question.

Amendment of Schedules

11. (1) Whenever Appendices I, II or III is amended, the Minister must by notice in the *Gazette* amend Schedule 3 accordingly.

[To be grammatically correct, subsection (1) should read "Whenever Appendix I, II or III is amended...".]

- (2) For the purpose of criminal liability or the seizure or forfeiture of any article, an amendment to Schedule 3 takes effect on the date when the notice is published as contemplated in subsection (1) or on a later date specified in that notice.
 - (3) The Minister may amend Schedule 1 by notice in the *Gazette*.
- (4) When the Minister amends Schedule 1, the Minister may specify in respect of any animal, plant, part, product or substance that -
 - (a) the possession thereof is unlawful;
 - (b) dealing therein is unlawful;
 - (c) the import thereof is unlawful;
 - (d) the export thereof is unlawful,

or that more than one of these actions are unlawful.

- (5) When controlled wildlife products are specified as contemplated in this section, the Minister may distinguish between -
 - (a) different species, varieties or populations of plants or animals;
 - (b) the country or region from which the animal or plant originates;
 - (c) the product or substance for which the act in question is specified;
 - (d) the process used when the animal or plant has been caught or collected or the process used in extracting or manufacturing the product or substance; or
 - (e) any other criterion that is relevant for the achievement of the objects of this Act.
- (6) When the Minister amends Schedule 1, any act referred to in subsection (4), may be made conditional upon the compliance with any formalities relating to the registration, marking or any other action that will facilitate the identification of any specific specimen.

Jurisdiction of magistrate's court

12. Despite any provision of any other law, a magistrate's court has the power to impose any sentence or make any order in respect of an offence under this Act.

Repeal of laws and transitional provisions

- **13.** (1) The Controlled Game Products Proclamation, 1980 (Proclamation AG. 42 of 1980), is repealed.
- (2) Any person who has possessed anything lawfully before this Act comes into operation or before Schedule 1 or 3 is amended in such a manner that the person concerned would require a permit after such amendment, must apply for a permit authorising such possession within six months from such commencement or amendment.
- (3) The provisions of subsection (2) apply to a person who is the holder of a permit issued in terms of the law repealed by subsection (1).
- (4) If a person contemplated in subsection (2) or (3) has failed to apply within the period provided for in subsection (2), the possession in question is deemed to be possession without a permit as contemplated in section 4(1).

Short title and commencement

14. This Act is called the Controlled Wildlife Products and Trade Act, 2008 and comes into operation on a date determined by the Minister by notice in the *Gazette*.

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Schedule 1: Controlled Wildlife Products

SCHEDULE 1 CONTROLLED WILDLIFE PRODUCTS

(Section 1)

- 1. Subject to paragraph 2 and 3 no person may possess, manufacture any object from, deal in, import into, or export from Namibia any tusk, horn, head, ear, trunk, skin, tail or foot or any part thereof, of any elephant or rhinoceros, or any part of any species or other specimen mentioned in Appendix I unless the action in question is authorised by a permit.
- 2. Paragraph 1 does not apply to the possession of up to five items of worked ivory with a total weight of less than 1 kg for personal use only.
- 3. Paragraph 1 does not apply to omakipa or other ivory carvings that are possessed or transferred in accordance with the customary law or the long-standing customs of any group of people indigenous to Namibia.
- 4. Paragraph 1 does not authorise the sale of the items referred to in that paragraph to any person who does not possess that item in accordance with such customary law or custom.
- 5. Subject to paragraph 7, no person may possess, deal in, import or export to or from Namibia any live mammal of the order carnivora, without a permit authorising the action in question.
- 6. Subject to paragraph 7, no person may import or export to or from Namibia any specimen derived from a mammal of the order carnivora without a permit authorising the action in question.
- 7. Paragraph 5 and 6 do not apply to any domesticated form of mammal of the order carnivora.

Schedule 2: Text of Convention

SCHEDULE 2

[The authoritative text of the Convention on International Trade in Endangered Species of Wild Fauna and Flora can be found on the website of the official depository, which is the Swiss government:

<www.eda.admin.ch/dam/eda/fr/documents/aussenpolitik/voelkerrecht/cites-autres/1973cites en.pdf>.]

TEXT OF CONVENTION

(Section 1)

The Contracting States,

- Recognizing that wild fauna and flora in their many beautiful and varied forms are an irreplaceable part of the natural systems of the earth which must be protected for this and the generations to come;
- Conscious of the ever-growing value of wild fauna and flora from aesthetic, scientific, cultural, recreational and economic points of view;
- Recognizing that peoples and States are and should be the best protectors of their own wild fauna and flora;
- Recognizing, in addition, that international co-operation is essential for the protection of certain species of wild fauna and flora against over-exploitation through international trade; Convinced of the urgency of taking appropriate measures to this end; Have agreed as follows:

[The paragraph above is reproduced as it appears in the *Government Gazette*. It is formatted differently in the official text of the Convention.]

Article I Definitions

For the purpose of the present Convention, unless the context otherwise requires:

- (a) "Species" means any species, subspecies, or geographically separate population thereof;
- (b) "Specimen" means:
 - (i) any animal or plant, whether alive or dead;
 - (ii) in the case of an animal: for species included in Appendices I and II, any readily recognizable part or derivative thereof; and for species included in Appendix III, any readily recognizable part or derivative thereof specified in Appendix III in relation to the species; and
 - (iii) in the case of a plant: for species included in Appendix I, any readily recognizable part or derivative thereof; and for species included in Appendices II and III, any readily recognizable part or derivative thereof specified in Appendices II and III in relation to the species;
- (c) "Trade" means export, re-export, import and introduction from the sea;
- (d) "Re-export" means export of any specimen that has previously been imported;

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- (e) "Introduction from the sea" means transportation into a State of specimens of any species which were taken in the marine environment not under the jurisdiction of any State;
- (f) "Scientific Authority" means a national scientific authority designated in accordance with Article IX:
- (g) "Management Authority" means a national management authority designated in accordance with Article IX;
- (h) "Party" means a State for which the present Convention has entered into force.

Article II Fundamental Principles

- 1. Appendix I shall include all species threatened with extinction which are or may be affected by trade. Trade in specimens of these species must be subject to particularly strict regulation in order not to endanger further their survival and must only be authorized in exceptional circumstances.
 - 2. Appendix II shall include:
 - (a) all species which although not necessarily now threatened with extinction may become so unless trade in specimens of such species is subject to strict regulation in order to avoid utilization incompatible with their survival; and
 - (b) other species which must be subject to regulation in order that trade in specimens of certain species referred to in sub-paragraph (a) of this paragraph may be brought under effective control.
- 3. Appendix III shall include all species which any Party identifies as being subject to regulation within its jurisdiction for the purpose of preventing or restricting exploitation, and as needing the co-operation of other Parties in the control of trade.
- 4. The Parties shall not allow trade in specimens of species included in Appendices I, II and III except in accordance with the provisions of the present Convention.

Article III Regulation of Trade in Specimens of Species Included in Appendix I

- 1. All trade in specimens of species included in Appendix I shall be in accordance with the provisions of this Article.
- 2. The export of any specimen of a species included in Appendix I shall require the prior grant and presentation of an export permit. An export permit shall only be granted when the following conditions have been met:
 - (a) a Scientific Authority of the State of export has advised that such export will not be detrimental to the survival of that species;
 - (b) a Management Authority of the State of export is satisfied that the specimen was not obtained in contravention of the laws of that State for the protection of fauna and flora;

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- (c) a Management Authority of the State of export is satisfied that any living specimen will be so prepared and shipped as to minimize the risk of injury, damage to health or cruel treatment; and
- (d) a Management Authority of the State of export is satisfied that an import permit has been granted for the specimen.
- 3. The import of any specimen of a species included in Appendix I shall require the prior grant and presentation of an import permit and either an export permit or a re-export certificate. An import permit shall only be granted when the following conditions have been met:
 - (a) a Scientific Authority of the State of import has advised that the import will be for purposes which are not detrimental to the survival of the species involved;
 - (b) a Scientific Authority of the State of import is satisfied that the proposed recipient of a living specimen is suitably equipped to house and care for it; and
 - (c) a Management Authority of the State of import is satisfied that the specimen is not to be used for primarily commercial purposes.
- 4. The re-export of any specimen of a species included in Appendix I shall require the prior grant and presentation of a re-export certificate. A re-export certificate shall only be granted when the following conditions have been met:
 - (a) a Management Authority of the State of re-export is satisfied that the specimen was imported into that State in accordance with the provisions of the present Convention:
 - (b) a Management Authority of the State of re-export is satisfied that any living specimen will be so prepared and shipped as to minimize the risk of injury, damage to health or cruel treatment; and
 - (c) a Management Authority of the State of re-export is satisfied that an import permit has been granted for any living specimen.
- 5. The introduction from the sea of any specimen of a species included in Appendix I shall require the prior grant of a certificate from a Management Authority of the State of introduction. Acertificate shall only be granted when the following conditions have been met:
 - (a) a Scientific Authority of the State of introduction advises that the introduction will not be detrimental to the survival of the species involved;
 - (b) a Management Authority of the State of introduction is satisfied that the proposed recipient of a living specimen is suitably equipped to house and care for it; and
 - (c) a Management Authority of the State of introduction is satisfied that the specimen is not to be used for primarily commercial purposes.

Article IV

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- 1. All trade in specimens of species included in Appendix II shall be in accordance with the provisions of this Article.
- 2. The export of any specimen of a species included in Appendix II shall require the prior grant and presentation of an export permit. An export permit shall only be granted when the following conditions have been met:
 - (a) a Scientific Authority of the State of export has advised that such export will not be detrimental to the survival of that species;
 - (b) a Management Authority of the State of export is satisfied that the specimen was not obtained in contravention of the laws of that State for the protection of fauna and flora; and
 - (c) a Management Authority of the State of export is satisfied that any living specimen will be so prepared and shipped as to minimize the risk of injury, damage to health or cruel treatment.
- 3. A Scientific Authority in each Party shall monitor both the export permits granted by that State for specimens of species included in Appendix II and the actual exports of such specimens. Whenever a Scientific Authority determines that the export of specimens of any such species should be limited in order to maintain that species throughout its range at a level consistent with its role in the ecosystems in which it occurs and well above the level at which that species might become eligible for inclusion in Appendix I, the Scientific Authority shall advise the appropriate Management Authority of suitable measures to be taken to limit the grant of export permits for specimens of that species.
- 4. The import of any specimen of a species included in Appendix II shall require the prior presentation of either an export permit or a re-export certificate.
- 5. The re-export of any specimen of a species included in Appendix II shall require the prior grant and presentation of a re-export certificate. A re-export certificate shall only be granted when the following conditions have been met:
 - (a) a Management Authority of the State of re-export is satisfied that the specimen was imported into that State in accordance with the provisions of the present Convention; and
 - (b) a Management Authority of the State of re-export is satisfied that any living specimen will be so prepared and shipped as to minimize the risk of injury, damage to health or cruel treatment.
- 6. The introduction from the sea of any specimen of a species included in Appendix II shall require the prior grant of a certificate from a Management Authority of the State of introduction. A certificate shall only be granted when the following conditions have been met:
 - (a) a Scientific Authority of the State of introduction advises that the introduction will not be detrimental to the survival of the species involved; and
 - (b) a Management Authority of the State of introduction is satisfied that any living specimen will be so handled as to minimize the risk of injury, damage to health or cruel treatment.

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7. Certificates referred to in paragraph 6 of this Article may be granted on the advice of a Scientific Authority, in consultation with other national scientific authorities or, when appropriate, international scientific authorities, in respect of periods not exceeding one year for total numbers of specimens to be introduced in such periods.

Article V Regulation of Trade in Specimens of Species Included in Appendix III

- 1. All trade in specimens of species included in Appendix III shall be in accordance with the provisions of this Article.
- 2. The export of any specimen of a species included in Appendix III from any State which has included that species in Appendix III shall require the prior grant and presentation of an export permit. An export permit shall only be granted when the following conditions have been met:
 - (a) a Management Authority of the State of export is satisfied that the specimen was not obtained in contravention of the laws of that State for the protection of fauna and flora; and
 - (b) a Management Authority of the State of export is satisfied that any living specimen will be so prepared and shipped as to minimize the risk of injury, damage to health or cruel treatment.
- 3. The import of any specimen of a species included in Appendix III shall require, except in circumstances to which paragraph 4 of this Article applies, the prior presentation of a certificate of origin and, where the import is from a State which has included that species in Appendix III, an export permit.
- 4. In the case of re-export, a certificate granted by the Management Authority of the State of re-export that the specimen was processed in that State or is being re-exported shall be accepted by the State of import as evidence that the provisions of the present Convention have been complied with in respect of the specimen concerned.

Article VI Permits and Certificates

- 1. Permits and certificates granted under the provisions of Articles III, IV, and V shall be in accordance with the provisions of this Article.
- 2. An export permit shall contain the information specified in the model set forth in Appendix IV, and may only be used for export within a period of six months from the date on which it was granted.
- 3. Each permit or certificate shall contain the title of the present Convention, the name and any identifying stamp of the Management Authority granting it and a control number assigned by the Management Authority.
- 4. Any copies of a permit or certificate issued by a Management Authority shall be clearly marked as copies only and no such copy may be used in place of the original, except to the extent endorsed thereon.
- 5. A separate permit or certificate shall be required for each consignment of specimens.

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- 6. A Management Authority of the State of import of any specimen shall cancel and retain the export permit or re-export certificate and any corresponding import permit presented in respect of the import of that specimen.
- 7. Where appropriate and feasible a Management Authority may affix a mark upon any specimen to assist in identifying the specimen. For these purposes "mark" means any indelible imprint, lead seal or other suitable means of identifying a specimen, designed in such a way as to render its imitation by unauthorized persons as difficult as possible.

[The word "difficult" is misspelt in the *Government Gazette*, as reproduced above. It is spelt correctly in the official text of the Convention.]

Article VII Exemptions and Other Special Provisions Relating to Trade

- 1. The provisions of Articles III, IV and V shall not apply to the transit or transhipment of specimens through or in the territory of a Party while the specimens remain in Customs control.
- 2. Where a Management Authority of the State of export or re-export is satisfied that a specimen was acquired before the provisions of the present Convention applied to that specimen, the provisions of Articles III, IV and V shall not apply to that specimen where the Management Authority issues a certificate to that effect.
- 3. The provisions of Articles III, IV and V shall not apply to specimens that are personal or household effects. This exemption shall not apply where:
 - (a) in the case of specimens of a species included in Appendix I, they were acquired by the owner outside his State of usual residence, and are being imported into that State; or
 - (b) in the case of specimens of species included in Appendix II:
 - (i) they were acquired by the owner outside his State of usual residence and in a State where removal from the wild occurred;
 - (ii) they are being imported into the owner's State of usual residence; and
 - (iii) the State where removal from the wild occurred requires the prior grant of export permits before any export of such specimens; unless a Management Authority is satisfied that the specimens were acquired before the provisions of the present Convention applied to such specimens.
- 4. Specimens of an animal species included in Appendix I bred in captivity for commercial purposes, or of a plant species included in Appendix I artificially propagated for commercial purposes, shall be deemed to be specimens of species included in Appendix II.
- 5. Where a Management Authority of the State of export is satisfied that any specimen of an animal species was bred in captivity or any specimen of a plant species was artificially propagated, or is a part of such an animal or plant or was derived there from, a certificate by that Management Authority to that effect shall be accepted in lieu of any of the permits or certificates required under the provisions of Article III, IV or V. VII6 VII6

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[The word "therefrom" should be written as one word. The numerals "VIII6 VIII6" do not appear in the official text of the Convention.)

6. The provisions of Articles III, IV and V shall not apply to the non-commercial loan, donation or exchange between scientists or scientific institutions registered by a Management Authority of their State, of herbarium specimens, other preserved, dried or embedded museum specimens, and live plant materials which carry a label issued or approved by a Management Authority.

[The term "live plant material" is singular in the official text of the Convention.]

- 7. A Management Authority of any State may waive the requirements of Articles III, IV and V and allow the movement without permits or certificates of specimens which form part of a travelling zoo, circus, menagerie, plant exhibition or other travelling exhibition provided that:
 - (a) the exporter or importer registers full details of such specimens with that Management Authority;
 - (b) the specimens are in either of the categories specified in paragraph 2 or 5 of this Article; and
 - (c) the Management Authority is satisfied that any living specimen will be so transported and cared for as to minimize the risk of injury, damage to health or cruel treatment.

Article VIII Measures to Be Taken by the Parties

- 1. The Parties shall take appropriate measures to enforce the provisions of the present Convention and to prohibit trade in specimens in violation thereof. These shall include measures:
 - (a) to penalize trade in, or possession of, such specimens, or both; and
 - (b) to provide for the confiscation or return to the State of export of such specimens.
- 2. In addition to the measures taken under paragraph 1 of this Article, a Party may, when it deems it necessary, provide for any method of internal reimbursement for expenses incurred as a result of the confiscation of a specimen traded in violation of the measures taken in the application of the provisions of the present Convention.
- 3. As far as possible, the Parties shall ensure that specimens shall pass through any formalities required for trade with a minimum of delay. To facilitate such passage, a Party may designate ports of exit and ports of entry at which specimens must be presented for clearance. The Parties shall ensure further that all living specimens, during any period of transit, holding or shipment, are properly cared for so as to minimize the risk of injury, damage to health or cruel treatment.
- 4. Where a living specimen is confiscated as a result of measures referred to in paragraph 1 of this Article:
 - (a) the specimen shall be entrusted to a Management Authority of the State of confiscation;

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- (b) the Management Authority shall, after consultation with the State of export, return the specimen to that State at the expense of that State, or to a rescue centre or such other place as the Management Authority deems appropriate and consistent with the purposes of the present Convention; and
- (c) the Management Authority may obtain the advice of a Scientific Authority, or may, whenever it considers it desirable, consult the Secretariat in order to facilitate the decision under sub-paragraph (b) of this paragraph, including the choice of a rescue centre or other place.

[The word "subparagraph" in paragraph (c) appears without a hyphen in the official text of the Convention]

5. A rescue centre as referred to in paragraph 4 of this Article means an institution designated by a Management Authority to look after the welfare of living specimens, particularly those that have been confiscated. VIII6 VIII6

[The numerals "VIII6" do not appear in the official text of the Convention.]

- 6. Each Party shall maintain records of trade in specimens of species included in Appendices I, II and III which shall cover:
 - (a) the names and addresses of exporters and importers; and
 - (b) the number and type of permits and certificates granted; the States with which such trade occurred; the numbers or quantities and types of specimens, names of species as included in Appendices I, II and III and, where applicable, the size and sex of the specimens in question. VIII7 VIII7

[The numerals "VIII7" do not appear in the official text of the Convention.]

- 7. Each Party shall prepare periodic reports on its implementation of the present Convention and shall transmit to the Secretariat:
 - (a) an annual report containing a summary of the information specified in subparagraph (b) of paragraph 6 of this Article; and

[The word "subparagraph" in paragraph (a) appears without a hyphen in the official text of the Convention, as in the *Government Gazette*, even though this is inconsistent with other usages of the term in the official text.]

- (b) a biennial report on legislative, regulatory and administrative measures taken to enforce the provisions of the present Convention.
- 8. The information referred to in paragraph 7 of this Article shall be available to the public where this is not inconsistent with the law of the Party concerned.

Article IX Management and Scientific Authorities

- 1. Each Party shall designate for the purposes of the present Convention:
- (a) one or more Management Authorities competent to grant permits or certificates on behalf of that Party; and

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- (b) one or more Scientific Authorities.
- 2. A State depositing an instrument of ratification, acceptance, approval or accession shall at that time inform the Depositary Government of the name and address of the Management Authority authorized to communicate with other Parties and with the Secretariat.
- 3. Any changes in the designations or authorizations under the provisions of this Article shall be communicated by the Party concerned to the Secretariat for transmission to all other Parties.
- 4. Any Management Authority referred to in paragraph 2 of this Article shall, if so requested by the Secretariat or the Management Authority of another Party, communicate to it impression of stamps, seals or other devices used to authenticate permits or certificates.

Article X Trade with States not Party to the Convention

Where export or re-export is to, or import is from, a State not a Party to the present Convention, comparable documentation issued by the competent authorities in that State which substantially conforms with the requirements of the present Convention for permits and certificates may be accepted in lieu thereof by any Party.

Article XI Conference of the Parties

- 1. The Secretariat shall call a meeting of the Conference of the Parties not later than two years after the entry into force of the present Convention.
- 2. Thereafter the Secretariat shall convene regular meetings at least once every two years, unless the Conference decides otherwise and extraordinary meetings at any time on the written request of at least one-third of the Parties.
- 3. At meetings, whether regular or extraordinary, the Parties shall review the implementation of the present Convention and may:
 - (a) make such provision as may be necessary to enable the Secretariat to carry out its duties, and adopt financial provisions;

[Paragraph (a) as it appears here incorporates the Amendment to Article XI of the Convention made at Bonn (Germany) on 22 June 1979, which is applicable to Namibia.]

- (b) consider and adopt amendments to Appendices I and II in accordance with Article XV;
- (c) review the progress made towards the restoration and conservation of the species included in Appendices I, II and III;
- (d) receive and consider any reports presented by the Secretariat or by any Party; and
- (e) where appropriate, make recommendations for improving the effectiveness of the present Convention.

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- 4. At each regular meeting, the Parties may determine the time and venue of the next regular meeting to be held in accordance with the provisions of paragraph 2 of this Article.
- 5. At any meeting, the Parties may determine and adopt rules of procedure for the meeting.
- 6. The United Nations, its Specialized Agencies and the International Atomic Energy Agency, as well as any State not a Party to the present Convention, may be represented at meetings of the Conference by observers, who shall have the right to participate but not to vote.
- 7. Any body or agency technically qualified in protection, conservation or management of wild fauna and flora, in the following categories, which has informed the Secretariat of its desire to be represented at meetings of the Conference by observers, shall be admitted unless at least one-third of the Parties present object:
 - (a) international agencies or bodies, either governmental or non- governmental, and national governmental agencies and bodies; and
 - (b) national non-governmental agencies or bodies which have been approved for this purpose by the State in which they are located. Once admitted, these observers shall have the right to participate but not to vote.

Article XII The Secretariat

- 1. Upon entry into force of the present Convention, a Secretariat shall be provided by the Executive Director of the United Nations Environment Programme. To the extent and in the manner he considers appropriate, he may be assisted by suitable inter-governmental or non-governmental international or national agencies and bodies technically qualified in protection, conservation and management of wild fauna and flora.
 - 2. The functions of the Secretariat shall be:
 - (a) to arrange for and service meetings of the Parties;
 - (b) to perform the functions entrusted to it under the provisions of Articles XV and XVI of the present Convention;
 - (c) to undertake scientific and technical studies in accordance with programmes authorized by the Conference of the Parties as will contribute to the implementation of the present Convention, including studies concerning standards for appropriate preparation and shipment of living specimens and the means of identifying specimens;
 - (d) to study the reports of Parties and to request from Parties such further information with respect thereto as it deems necessary to ensure implementation of the present Convention;
 - (e) to invite the attention of the Parties to any matter pertaining to the aims of the present Convention;
 - (f) to publish periodically and distribute to the Parties current editions of Appendices I, II and III together with any information which will facilitate identification of specimens of species included in those Appendices;

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- (g) to prepare annual reports to the Parties on its work and on the implementation of the present Convention and such other reports as meetings of the Parties may request;
- (h) to make recommendations for the implementation of the aims and provisions of the present Convention, including the exchange of information of a scientific or technical nature:
- (i) to perform any other function as may be entrusted to it by the Parties.

Article XIII International Measures

- 1. When the Secretariat in the light of information received is satisfied that any species included in Appendix I or II is being affected adversely by trade in specimens of that species or that the provisions of the present Convention are not being effectively implemented, it shall communicate such information to the authorized Management Authority of the Party or Parties concerned.
- 2. When any Party receives a communication as indicated in paragraph 1 of this Article, it shall, as soon as possible, inform the Secretariat of any relevant facts insofar as its laws permit and, where appropriate, propose remedial action. Where the Party considers that an inquiry is desirable, such inquiry may be carried out by one or more persons expressly authorized by the Party.
- 3. The information provided by the Party or resulting from any inquiry as specified in paragraph 2 of this Article shall be reviewed by the next Conference of the Parties which may make whatever recommendations it deems appropriate.

Article XIV Effect on Domestic Legislation and International Conventions

- 1. The provisions of the present Convention shall in no way affect the right of Parties to adopt:
 - (a) stricter domestic measures regarding the conditions for trade, taking, possession or transport of specimens of species included in Appendices I, II and III, or the complete prohibition thereof; or
 - (b) domestic measures restricting or prohibiting trade, taking, possession or transport of species not included in Appendix I, II or III.
- 2. The provisions of the present Convention shall in no way affect the provisions of any domestic measures or the obligations of Parties deriving from any treaty, convention, or international agreement relating to other aspects of trade, taking, possession or transport of specimens which is in force or subsequently may enter into force for any Party including any measure pertaining to the Customs, public health, veterinary or plant quarantine fields.
- 3. The provisions of the present Convention shall in no way affect the provisions of, or the obligations deriving from, any treaty, convention or international agreement concluded or which may be concluded between States creating a union or regional trade agreement establishing or maintaining a common external Customs control and removing Customs control

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between the parties thereto insofar as they relate to trade among the States members of that union or agreement.

- 4. A State party to the present Convention, which is also a party to any other treaty, convention or international agreement which is in force at the time of the coming into force of the present Convention and under the provisions of which protection is afforded to marine species included in Appendix II, shall be relieved of the obligations imposed on it under the provisions of the present Convention with respect to trade in specimens of species included in Appendix II that are taken by ships registered in that State and in accordance with the provisions of such other treaty, convention or international agreement.
- 5. Notwithstanding the provisions of Articles III, IV and V, any export of a specimen taken in accordance with paragraph 4 of this Article shall only require a certificate from a Management Authority of the State of introduction to the effect that the specimen was taken in accordance with the provisions of the other treaty, convention or international agreement in question.
- 6. Nothing in the present Convention shall prejudice the codification and development of the law of the sea by the United Nations Conference on the Law of the Sea convened pursuant to Resolution 2750 C (XXV) of the General Assembly of the United Nations nor the present or future claims and legal views of any State concerning the law of the sea and the nature and extent of coastal and flag State jurisdiction.

Article XV Amendments to Appendices I and II

- 1. The following provisions shall apply in relation to amendments to Appendices I and II at meetings of the Conference of the Parties:
 - (a) Any Party may propose an amendment to Appendix I or II for consideration at the next meeting. The text of the proposed amendment shall be communicated to the Secretariat at least 150 days before the meeting. The Secretariat shall consult the other Parties and interested bodies on the amendment in accordance with the provisions of sub-paragraphs (b) and (c) of paragraph 2 of this Article and shall communicate the response to all Parties not later than 30 days before the meeting.
 - (b) Amendments shall be adopted by a two-thirds majority of Parties present and voting. For these purposes "Parties present and voting" means Parties present and casting an affrmative or negative vote. Parties abstaining from voting shall not be counted among the two-thirds required for adopting an amendment.

[The word "affirmative" is misspelt in the *Government Gazette*, as reproduced above. It is spelt correctly in the official text of the Convention.]

- (c) Amendments adopted at a meeting shall enter into force 90 days after that meeting for all Parties except those which make a reservation in accordance with paragraph 3 of this Article.
- 2. The following provisions shall apply in relation to amendments to Appendices I and II between meetings of the Conference of the Parties:
 - (a) Any Party may propose an amendment to Appendix I or II for consideration between meetings by the postal procedures set forth in this paragraph.

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- (b) For marine species, the Secretariat shall, upon receiving the text of the proposed amendment, immediately communicate it to the Parties. It shall also consult intergovernmental bodies having a function in relation to those species especially with a view to obtaining scientific data these bodies may be able to provide and to ensuring co-ordination with any conservation measures enforced by such bodies. The Secretariat shall communicate the views expressed and data provided by these bodies and its own findings and recommendations to the Parties as soon as possible.
- (c) For species other than marine species, the Secretariat shall, upon receiving the text of the proposed amendment, immediately communicate it to the Parties, and, as soon as possible thereafter, its own recommendations.
- (d) Any Party may, within 60 days of the date on which the Secretariat communicated its recommendations to the Parties under sub-paragraph (b) or (c) of this paragraph, transmit to the Secretariat any comments on the proposed amendment together with any relevant scientific data and information.
- (e) The Secretariat shall communicate the replies received together with its own recommendations to the Parties as soon as possible.
- (f) If no objection to the proposed amendment is received by the Secretariat within 30 days of the date the replies and recommendations were communicated under the provisions of sub-paragraph (e) of this paragraph, the amendment shall enter into force 90 days later for all Parties except those which make a reservation in accordance with paragraph 3 of this Article.
- (g) If an objection by any Party is received by the Secretariat, the proposed amendment shall be submitted to a postal vote in accordance with the provisions of subparagraphs (h), (i) and (j) of this paragraph.
- (h) The Secretariat shall notify the Parties that notification of objection has been received.
- (i) Unless the Secretariat receives the votes for, against or in abstention from at least one-half of the Parties within 60 days of the date of notification under subparagraph (h) of this paragraph, the proposed amendment shall be referred to the next meeting of the Conference for further consideration.
- (j) Provided that votes are received from one-half of the Parties, the amendment shall be adopted by a two-thirds majority of Parties casting an affirmative or negative vote.
- (k) The Secretariat shall notify all Parties of the result of the vote.
- (l) If the proposed amendment is adopted it shall enter into force 90 days after the date of the notification by the Secretariat of its acceptance for all Parties except those which make a reservation in accordance with paragraph 3 of this Article.
- 3. During the period of 90 days provided for by sub-paragraph (c) of paragraph 1 or sub-paragraph (l) of paragraph 2 of this Article any Party may by notification in writing to the Depositary Government make a reservation with respect to the amendment. Until such reservation is withdrawn the Party shall be treated as a State not a Party to the present Convention with respect to trade in the species concerned.

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Article XVI Appendix III and Amendments thereto

1. Any Party may at any time submit to the Secretariat a list of species which it identifies as being sub ject to regulation within its jurisdiction for the purpose mentioned in paragraph 3 of Article II. Appendix III shall include the names of the Parties submitting the species for inclusion therein, the scientific names of the species so submitted, and any parts or derivatives of the animals or plants concerned that are specified in relation to the species for the purposes of sub-paragraph (b) of Article I.

[The word "subject" should be written as one word, as it appears in the official text of the Convention.]

- 2. Each list submitted under the provisions of paragraph 1 of this Article shall be communicated to the Parties by the Secretariat as soon as possible after receiving it. The list shall take effect as part of Appendix III 90 days after the date of such communication. At any time after the communication of such list, any Party may by notification in writing to the Depositary Government enter a reservation with respect to any species or any parts or derivatives, and until such reservation is withdrawn, the State shall be treated as a State not a Party to the present Convention with respect to trade in the species or part or derivative concerned.
- 3. A Party which has submitted a species for inclusion in Appendix III may withdraw it at any time by notification to the Secretariat which shall communicate the withdrawal to all Parties. The withdrawal shall take effect 30 days after the date of such communication.
- 4. Any Party submitting a list under the provisions of paragraph 1 of this Article shall submit to the Secretariat a copy of all domestic laws and regulations applicable to the protection of such species, together with any interpretations which the Party may deem appropriate or the Secretariat may request. The Party shall, for as long as the species in question is included in Appendix III, submit any amendments of such laws and regulations or any interpretations as they are adopted.

Article XVII Amendment of the Convention

1. An extraordinary meeting of the Conference of the Parties shall be convened by the Secretariat on the written request of at least one-third of the Parties to consider and adopt amendments to the present Convention. Such amendments shall be adopted by a two-thirds majority of Parties present and voting. For these purposes "Parties present and voting" means Parties present and casting an affrmative or negative vote. Parties abstaining from voting shall not be counted among the two-thirds required for adopting an amendment.

[The word "affirmative" is misspelt in the *Government Gazette*, as reproduced above. It is spelt correctly in the official text of the Convention.]

- 2. The text of any proposed amendment shall be communicated by the Secretariat to all Parties at least 90 days before the meeting.
- 3. An amendment shall enter into force for the Parties which have accepted it 60 days after two-thirds of the Parties have deposited an instrument of acceptance of the amendment with the Depositary Government. Thereafter, the amendment shall enter into force for any other Party 60 days after that Party deposits its instrument of acceptance of the amendment.

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Article XVIII Resolution of Disputes

1. Any dispute which may arise between two or more Parties with respect to the interpretation or application of the provisions of the present Convention shall be subject to negotiation between the Parties involved in the dispute.

[The word "subject" should be written as one word, as it appears in the official text of the Convention.]

2. If the dispute can not be resolved in accordance with paragraph 1 of this Article, the Parties may, by mutual consent, submit the dispute to arbitration, in particular that of the Permanent Court of Arbitration at The Hague, and the Parties submitting the dispute shall be bound by the arbitral decision.

[The term "can not" appears as one word in the official text of the Convention.]

Article XIX Signature

The present Convention shall be open for signature at Washington until 30th April 1973 and thereafter at Berne until 31st December 1974.

Article XX Ratification, Acceptance, Approval

The present Convention shall be subject to ratification, acceptance or approval. Instruments of ratification, acceptance or approval shall be deposited with the Government of the Swiss Confederation which shall be the Depositary Government.

Article XXI Accession

The present Convention shall be open indefinitely for accession. Instruments of accession shall be deposited with the Depositary Government.

[Note that Namibia is not a party to the amendments to Article XXI agreed upon by the Parties to CITES at the second extraordinary meeting in Gaborone, Botswana, on 30 April 1983.]

Article XXII Entry into Force

- 1. The present Convention shall enter into force 90 days after the date of deposit of the tenth instrument of ratification, acceptance, approval or accession, with the Depositary Government.
- 2. For each State which ratifies, accepts or approves the present Convention or accedes thereto after the deposit of the tenth instrument of ratification, acceptance, approval or accession, the present Convention shall enter into force 90 days after the deposit by such State of its instrument of ratification, acceptance, approval or accession.

Article XXIII Reservations

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- 1. The provisions of the present Convention shall not be subject to general reservations. Specific reservations may be entered in accordance with the provisions of this Article and Articles XV and XVI.
- 2. Any State may, on depositing its instrument of ratification, acceptance, approval or accession, enter a specific reservation with regard to:
 - (a) any species included in Appendix I, II or III; or
 - (b) any parts or derivatives specified in relation to a species included in Appendix III.
- 3. Until a Party withdraws its reservation entered under the provisions of this Article, it shall be treated as a State not a Party to the present Convention with respect to trade in the particular species or parts or derivatives specified in such reservation.

Article XXIV Denunciation

Any Party may denounce the present Convention by written notification to the Depositary Government at any time. The denunciation shall take effect twelve months after the Depositary Government has received the notification.

Article XXV Depositary

- 1. The original of the present Convention, in the Chinese, English, French, Russian and Spanish languages, each version being equally authentic, shall be deposited with the Depositary Government, which shall transmit certified copies thereof to all States that have signed it or deposited instruments of accession to it.
- 2. The Depositary Government shall inform all signatory and acceding States and the Secretariat of signatures, deposit of instruments of ratification, acceptance, approval or accession, entry into force of the present Convention, amendments thereto, entry and withdrawal of reservations and notifications of denunciation.
- 3. As soon as the present Convention enters into force, a certified copy thereof shall be transmitted by the Depositary Government to the Secretariat of the United Nations for registration and publication in accordance with Article 102 of the Charter of the United Nations.

In witness whereof the undersigned Plenipotentiaries, being duly authorized to that effect, have signed the present Convention.

Done at Washington this third day of March, One Thousand Nine Hundred and Seventy-three.

Schedule 3: Appendices to Convention

SCHEDULE 3 APPENDICES TO CONVENTION

To view content without printing, scroll down.

To print at full scale (A4), double-click the icon below.



[Schedule 3 is substituted by GN 94/2017, GN 122/2020 and GN 254/2023.

Note that the Appendices to CITES can be, and regularly are, amended by "the Conference of the Parties" under Articles XV and XVI of the Convention, and the amendments enter into force automatically for all parties.

However, section 11(1) of this Act states: "Whenever Appendices I, II or III is amended [sic], the Minister *must* by notice in the *Gazette* amend Schedule 3 accordingly." (emphasis added). In addition, section 1(3)(c) of this Act states: "Any provision of this Act is construed with reference to the Convention and any provision of the Convention is deemed to be part of this Act and for that purpose...
(c) a species is deemed to be included in an Appendix only if it appears in the relevant column of Schedule 3 and also subject to such further qualifications as set out in the relevant entry or such further notes as may appear in that Schedule." (emphasis added).

The version of the Appendices on the CITES website < www.cites.org/eng/app/appendices.php is updated regularly to include the latest amendments to the CITES Appendices in a convenient table format, which differs from the original text of the Convention and its amendments. The table format from the CITES website has been copied in the Government Gazette and is is presented as it appears in the Government Gazette.]

Schedule 3: Appendices to Convention

SCHEDULE 3

Appendices to Convention

Interpretations

- 1. Species included in these Appendices are referred to:
 - a) by the name of the species; or
 - b) as being all of the species included in a higher taxon or designated part thereof.
- 2. The abbreviation "spp." is used to denote all species of a higher taxon.
- 3. Other references to taxa higher than species are for the purposes of information or classification only. The common names included after the scientific names of families are for reference only. They are intended to indicate the species within the family concerned that are included in the Appendices. In most cases this is not all of the species within the family.
- 4. The following abbreviations are used for plant taxa below the level of species:
 - a) "ssp." is used to denote subspecies; and
 - b) "var(s)." is used to denote variety (varieties).
- 5. As none of the species or higher taxa of FLORA included in Appendix I is annotated to the effect that its hybrids shall be treated in accordance with the provisions of Article III of the Convention, this means that artificially propagated hybrids produced from one or more of these species or taxa may be traded with a certificate of artificial propagation, and that seeds and pollen (including pollinia), cut flowers, seedling or tissue cultures obtained in vitro, in solid or liquid media, transported in sterile containers of these hybrids are not subject to the provisions of the Convention.
- 6. The names of the countries in parentheses placed against the names of species in Appendix III are those of the Parties submitting these species for inclusion in this Appendix.
- 7. When a species is included in one of the Appendices, all parts and derivatives of the species are also included in the same Appendix unless the species is annotated to indicate that only specific parts and derivatives are included. The symbol # followed by a number placed against the name of a species or higher taxon included in Appendix II or III refers to a footnote that indicates the parts or derivatives of plants that are designated as 'specimens' subject to the provisions of the Convention in accordance with Article I, paragraph (b), subparagraph (iii).

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Schedule 3: Appendices to Convention

8. The terms and expressions below, used in annotations in these Appendices, are defined as follows:

Extract

Any substance obtained directly from plant material by physical or chemical means regardless of the manufacturing process. An extract may be solid (e.g. crystals, resin, fine or coarse particles), semi-solid (e.g. gums, waxes) or liquid (e.g. solutions, tinctures, oil and essential oils).

Finished products packaged and ready for retail trade

Products, shipped singly or in bulk, requiring no further processing, packaged, labelled for final use or the retail trade in a state fit for being sold to or used by the general public.

Powder

A dry, solid substance in the form of fine or coarse particles.

Woodchips

 $Wood\ that\ has\ been\ reduced\ to\ small\ pieces.$

		Appendices	
	I	II	III
FAUNA (ANIMALS)			
PHYLUM			
CHORDATA			
CLASS MAMMALIA			
(MAMMALS)			
ARTIODACTYLA			
Antilocapridae			
Pronghorns			
	Antilocapra americana		
	(Only the population		
	of Mexico; no other		
	population is included		
	in the Appendices)	<u> </u>	
Bovidae Antelopes,			
cattle, duikers, gazelles,			
goats, sheep, etc.		·	,
	Addax nasomaculatus		
		Ammotragus lervia	
			Antilope
			cervicapra
			(Nepal, Pakistan)
	Bos gaurus (Excludes the		
	domesticated form, which		
	is referenced as Bos		
	frontalis, and is not		
	subject to the provisions		
	of the Convention)		

	Appendices	
I	II	III
Bos mutus (Excludes the		
domesticated form, which		
is referenced as Bos		
grunniens, and is not		
subject to the provisions		
of the Convention)		
Bos sauveli		
		Boselaphus
		tragocamelus
		(Pakistan)
		Bubalus arnee
		(Excludes the
		domesticated
		form, which is
		referenced as
		Bubalus bubalis
		and is not subject
		to the provisions
		of the Convention)
		(Nepal)
Bubalus depressicomis		
Bubalus mindorensis		
Bubalus quarlesi		
Duourus quaresi	Dudoroos tarioslor	
	Budorcas taxicolor	
	Capra caucasica	
Capra falconeri		
		Capra hircus
		aegagrus
		(Specimens of the
		domesticated form
		are not subject to
		the provisions of
		the Convention)
		(Pakistan)
		Capra sibirica
		(Pakistan)
Capricornis		
milneedwardsii		
Capricornis rubidus		
Capricornis		
sumatraensis		
Capricornis thar		
<u>=</u> 2	Cephalophus brookei	
	Cephalophus dorsalis	
C-1-1-1	Cepharophus dorsalis	
Cephalophus jentinki		
	Cephalophus ogilbyi	
	Cephalophus	
	silvicultor	

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I	Cephalophus zebra Damaliscus pygargus pygargus	III Gazella bennettii (Pakistan)
	Damaliscus pygargus	
	pygargus	
1		(Pakistan)
20 100 1 1		8
Gazella cuvieri		
		Gazella dorcas (Algeria, Tunisia)
Gazella leptoceros		
Hippotragus niger v	ariani	
	Kobus leche	
Naemorhedus bailey	<i>i</i> i	
Naemorhedus cauda	tus	
Naemorhedus goral		
Naemorhedus grise	us	
<i>Nanger</i> dama		
Oryx dammah		
Oryx leucoryx		
	Ovis ammon	
	Ovis arabica	
	Ovis bochariensis	
	Ovis canadensis	
	(Only the population	
	of Mexico; no other population is included	
	in the Appendices)	
	Ovis collium	
	Ovis cycloceros	
	Ovis darwini	
Ovis gmelini (Only i	LOS	
population of Cypru		
no other population		
is included in the		
Appendices)		
Ovis hodgsonii	Ouis tub at a	
	Ovis jubata	
Ovio nie iim antana	Ovis karelini	
Ovis nigrimontana	Ouis na ¹²²	
	Ovis polii	
	Ovis punjabiensis Ovis severtzovi	
Origanianai	Ovis severtiovi	
Ovis vignei		
Pantholops hodgson	Philantomba	
	nonticola monticola	

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		Appendices	
	I	II	III
	Pseudoryx nghetinhensis		Pseudois nayaur (Pakistan)
		Rupicapra pyrenaica ornata Saiga boreaiis (A zero export quota for wild specimens traded for commercial purposes) Saiga tatarica (A zero export quota for wild specimens traded for	
		commercial purposes)	Tetracerus quadricornis (Nepal)
Camelidae Camels, guanacos, vicunas			
	Vicugna vicugna [Except the populations of: Argentina (the populations of the Provinces of Jujuy, Catamarca and Salta, and the semi-captive populations of the Provinces of Jujuy, Salta, Catamarca, La Rioja and San Juan), Chile (populations of the region of Tarapacá and of the region of Arica and Parinacota), Ecuador (the whole population), Peru (the whole population) and the Plurinational State of Bolivia (the whole population), which are	Lama guanicoe	

Schedule 3: Appendices to Convention

	Appendices	
I	II	III
I	Vicugna vicugna [Only the populations of Argentina (the populations of the Provinces of Jujuy, Catamarca and Salta, and the semi-captive populations of the Provinces of Jujuy, Salta, Catamarca, La Rioja and San Juan), Chile (populations of the region of Tarapacá and of the region of Arica and Parinacota), Ecuador (the whole population), Peru (the whole population) and the Plurinational State of Bolivia (the whole population); all other populations are included in Appendix I] 11	III

- ¹ For the exclusive purpose of allowing international trade in fibre from vicunas (*Vicugna vicugna*) and their derivative products, only if the fibre comes from the shearing of live vicunas. Trade in products derived from the fibre may only take place in accordance with the following provisions:
 - a) Any person or entity processing vicuna fibre to manufacture cloth and garments must request authorization from the relevant authorities of the country of origin (Countries of origin: The countries where the species occurs, that is, Argentina, Bolivia, Chile, Ecuador and Peru) to use the "vicuna country of origin" wording, mark or logo adopted by the range States of the species that are signatories to the Convention for the Conservation and Management of the Vicuna.
 - b) Marketed cloth or garments must be marked or identified in accordance with the following provisions:
 - i) For international trade in <u>cloth</u> made from live-sheared vicuña fibre, whether the cloth was produced within or outside of the range States of the species, the wording, mark or logo must be used so that the country of origin can be identified. The VICUÑA [COUNTRY OF ORIGIN] wording, mark or logo has the format as detailed below:



This wording, mark or logo must appear on the reverse side of the cloth. In addition, the selvages of the cloth must bear the words VICUÑA [COUNTRY OF ORIGIN].

- ii) For international trade in garments made from live-sheared vicuna fibre, whether the garments were produced within or outside of the range States of the species, the wording, mark or logo indicated in paragraph b) i) must be used. This wording, mark or logo must appear on a label on the garment itself. If the garments are produced outside of the country of origin, the name of the country where the garment was produced should also be indicated, in addition to the wording, mark or logo referred to in paragraph b) i).
- c) For international trade in handicraft products made from live-sheared vicuna fibre produced within the range States of the species, the VICUÑA [COUNTRY OF ORIGIN] - ARTESANIA wording, mark or logo must be used as detailed below:



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		Appendices	
	I	II	III
Cervidae Deer, huemuls,			1030
muntjacs, pudus		·	·,
	Axis calamianensis		
	Axis kuhlii		
			Axis porcinus
			(Except the subspecies
			included in
			Appendix I)
			(Pakistan)
	Axis porcinus		
	annamiticus		
	Blastocerus dichotomus		
		Cervus elaphus bactrianus	
		bactrianus	Compagalophus
			Cervus elaphus barbarus (Algeria,
			Tunisia)
	Cervus elaphus hanglu		
	Dama dama		
	mesopotamica		
	Hippocamelus spp.		
			Mazama
			temama cerasina (Guatemala)
	Muntiacus crinifrons		
	Muntiacus vuquangensis		
			Odocoileus
			virginianus mayensis
			(Guatemala)
	Ozotoceros bezoarticus		(
		Pudu mephistophiles	
	Pudu puda		
	Rucervus duvaucelii		
	Rucervus eldii		
Giraffidae Giraffes			
		Giraffa	
		camelopardalislio	<u> </u>
Hippopotamidae			
Hippopotamuses		TT	Т
		Hexaprotodon liberiensis	
	<u> </u>	i mocnensis	1

<sup>d) If live-sheared vicuna fibre from various countries of origin is used for the production of cloth and garments, the wording, mark or logo of each of the countries of origin of the fibre must be indicated, as detailed in paragraphs b) i) and ii).

e) All other specimens shall be deemed to be specimens of species listed in Appendix I and the trade in them shall be regulated accordingly.</sup>

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		Appendices	
	I	II	III
		Hippopotamus	
		amphibius	
Moschidae Musk deer		·	·
	Moschus spp. (Only the populations of Afghanistan, Bhutan, India, Myanmar, Nepal and Pakistan; all other populations are included in Appendix II)	Moschus spp. (Except the populations of Afghanistan, Bhutan, India, Myanmar, Nepal and Pakistan, which are included	
		in Appendix I)	<u> </u>
Suidae Babirusa, hogs, pigs			
	Babyrousa babyrussa		
	Babyrousa bolabatuensis		
	Babyrousa celebensis		
	Babyrousa togeanensis		
	Sus salvanius		
Tayassuidae Peccaries			
		Tayassuidae spp. (Except the species included in Appendix I and the populations of <i>Pecari</i> tajacu of Mexico and the United States of America, which are not included in the Appendices)	
GARNINGS :	Catagonus wagneri	<u> </u>	<u> </u>
CARNIVORA	<u> </u>		
Ailuridae Red pandas	A ilama fula ana		
Canidae Dogs, foxes, wolves	Ailurus fulgens	<u> </u>	<u> </u>
			Canis aureus (India)

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	Appendices		
	I	II	III
		Vulpes zerda	
Eupleridae Fossa, falanouc, Malagasy civets		·······	
		Cryptoprocta ferox	
		Eupleres goudotii	
		Fossa fossana	
Felidae Cats			
	Acinonyx jubatus (Annual export quotas for live specimens and hunting trophies are granted as follows: Botswana: 5: Namibia: 150;	Felidae spp. [Except the species included in Appendix I. Excludes specimens of the domesticated form, which are not subject to the provisions of the Convention. For Panthera leo (African populations): a zero annual export quota is established for specimens of bones, bone pieces, bone products, claws, skeletons, skulls and teeth removed from the wild and traded for commercial purposes. Annual export quotas for trade in bones, bone pieces, bone products, claws, skeletons, skulls and teeth for commercial purposes, derived from captive breeding operations in South Africa, will be established and communicated annually to the CITES Secretariat.]	
	Zimbabwe: 50.		
	The trade in such specimens is subject to the		
	provisions of Article III		
	of the Convention)	<u> </u>	

	Appendices	
I	II	III
Caracal caracal (Only the population of Asia; all other populations are included in Appendix II)		
Catopuma temminckii		
Felis nigripes		
Herpailurus yagouaroundi (Only the populations of Central and North America; all other populations are included in Appendix II)		
Leopardus geoffroyi		
Leopardus guttulus		
Leopardus jacobita		
Leopardus pardalis		
Leopardus tigrinus		
Leopardus wiedii		
Lynx pardinus		
Neofelis diardi		
Neofelis nebulosa		
Panthera leo (Only the populations of India; all other populations are included in Appendix II)		
Panthera onca		
Panthera pardus		
Panthera tigris		
Panthera uncia		
Pardofelis marmorata		
Prionailurus bengalensis bengalensis (Only the populations of Bangladesh, India and Thailand; all other populations are included in Appendix II)		
Prionailurus planiceps		
Prionailurus rubiginosus (Only the population of India; all other populations are included in Appendix II)		
Puma concolor (Only the populations of Costa Rica and Panama; all other populations are included in Appendix II)		

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		Appendices	
	I	II	III
Herpestidae Mongooses			
			Herpestes
			edwardsi
			(India, Pakistan)
			Herpestes fuscus (India)
			Herpestes
			javanicus
			(Pakistan) Herpestes
			javanicus
			auropunctatus
			(India)
			Herpestes smithii (India)
			Herpestes urva (India)
			Herpestes
			vitticollis (India)
Hyaenidae Aardwolf, hyenas			
			Hyaena hyaena
			(Pakistan)
			Proteles cristata
Mephitidae Skunks			(Botswana)
Wephilidae Skulks		Conepatus humboldtii	
Mustelidae Badgers,		Conepatus numbolum	
martens, otters,			
weasels, etc.			
Lutrinae Otters			
		Lutrinae spp.	
		(Except the	
		species included in Appendix I)	
	A any a conquests microdon	III Appendix 1)	
	Aonyx <i>capensis microdon</i> (Only the populations of		
	Cameroon and Nigeria;		
	all other populations are		
	included in Appendix II)		
	Aonyx cinerea		
	Enhydra lutris nereis		
	Lontra felina		
	Lontra longicaudis		
	Lontra provocax		
	Lutra lutra		
	Lutra nippon		

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		Appendices	
	I	II	III
	Lutrogale perspicillata		
	Pteronura brasiliensis		
Mustelinae Grisons,			
honey badgers, martens,			
tayra, weasels			
			Eira barbara (Honduras)
			Martes flavigula (India)
			Martes foina intermedia (India)
			Martes gwatkinsii
			(India) Mellivora capensis
			(Botswana) Mustela altaica
			(India) Mustela erminea
			ferghanae (India)
			Mustela kathiah
			(India)
	Mustela nigripes		
			Mustela sibirica (India)
Odobenidae Walruses	<u> </u>		
			Odobenus
			rosmarus (Canada)
Otariidae Fur seals,			
sealions			
		Arctocephalus spp.	
		(Except the species included	
		in Appendix I)	l
	Arctocephalus townsendi	5.5	
Phocidae Seals	Theteoophanas to Wilsona	<u> </u>	
		Mirounga leonina	· · · · · · · · · · · · · · · · · · ·
	Monachus spp.	- Ivinounga teomita	-
Procyonidae Coatis,	тионасная зрр.		<u> </u>
kinkajous, olingos			
		<u> </u>	Nasua narica
			(Honduras)
			Nasua nasua solitaria
			(Uruguay)
			Potos flavus
			(Honduras)

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		Appendices	
	I	II	Ш
Ursidae Bears, giant		Ala:	
pandas		·	·
		Ursidae spp. (Except	
		the species included in Appendix I)	
	A iluranada malanalayaa	III Appendix 1)	
	Ailuropoda melanoleuca		
	Helarctos malayanus Melursus ursinus		
	Tremarctos ornatus		
	Ursus arctos (Only the populations of Bhutan,		
	China, Mexico and		
	Mongolia; all other		
	populations are included		
	in Appendix II)		
	Ursus arctos isabellinus		
	Ursus thibetanus		
Viverridae Binturong,			
civets, linsangs, otter-civet, palm civets			
ouer-civet, paint civets			Arctictis binturong
			(India)
			Civettictis civetta
			(Botswana)
		Cynogale bennettii	
		Hemigalus derbyanus	
			Paguma larvata
			(India)
			Paradoxurus
			hermaphroditus
			(India)
			Paradoxurus jerdoni (India)
		Prionodon linsang	jerdom (maia)
	Prionodon pardicolor	1 Honodon misang	
	l Hohodon pardicolor		Viverra civettina
			(India)
			Viverra zibetha
			(India)
			Viverricula indica
			(India)
CETACEA Dolphins,			
porpoises, whales			

		Appendices	
	I	II	III
		CETACEA spp.	
		(Except the species	
		included in Appendix I.	
		A zero annual export	
		quota has been	
		established for live specimens from the	
		Black Sea population	
		of Tursiops truncatus	
		removed from the	
		wild and traded for	
		primarily commercial	
	<u> </u>	purposes)	
Balaenidae Bowhead			
whale, right whales	<u> </u>	·	,
	Balaena mysticetus		
	Eubalaena spp.		
Balaenopteridae Fin			
whales, humpback			
whales, rorquals			,
	Balaenoptera acutorostrata		
	(Except the population of		
	West Greenland, which is		
	included in Appendix II)		
	Balaenoptera bonaerensis		
	Balaenoptera borealis		
	Balaenoptera edeni		
	Balaenoptera musculus		
	Balaenoptera omurai		
	Balaenoptera physalus		
	Megaptera novaeangliae		
Delphinidae Dolphins			
	Orcaella brevirostris		
	Orcaella heinsohni		
	Sotalia spp.		
	Sousa spp.		
Eschrichtiidae	T. T.	<u></u>	I
Grey whale			
	Eschrichtius robustus		
Iniidae River dolphins	 	······	l
1	Lipotes vexillifer		
Neobalaenidae Pygmy	1 1	<u> </u>	<u> </u>
right whale			
	Caperea marginata		
Phocoenidae Porpoises	1	I	L
	.l		

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	Appendices		
	I	II	III
	Neophocaena		
	asiaeorientalis		
	Neophocaena phocaenoides		
	Phocoena sinus		
Physeteridae Sperm whales		!	<u> </u>
	Physeter macrocephalus		
Platanistidae River dolphins			·
	Platanista spp.		
Ziphiidae Beaked whales, bottle-nosed whales			
	Berardius spp.		
	Hyperoodon spp.		
CHIROPTERA			
Phyllostomidae			
Broad-nosed bats	ļ	···	r
			Platyrrhinus lineatus (Uruguay)
Pteropodidae Fruit bats, flying foxes			
		Acerodon spp.	
		(Except the species	
	A	included in Appendix I)	
	Acerodon jubatus	Dt	
		Pteropus spp. (Except the species included	
		in Appendix I and	
		Pteropus brunneus)	
	Pteropus insularis		
	Pteropus loochoensis		
	Pteropus mariannus		
	Pteropus molossinus		
	Pteropus pelewensis		
	Pteropus pilosus		
	Pteropus samoensis		
	Pteropus tonganus		
	Pteropus ualanus		
	Pteropus yapensis		
CINGULATA			
Dasypodidae Armadillos			r
			Cabassous tatouay (Uruguay)

		Appendices	
	I	11	III
	-	Chaetophractus	
		nationi (A zero	
		annual export quota	
		has been established.	State
		All specimens shall	
		be deemed to be	
		specimens of	
		species included in	
		Appendix I and the	
		trade in them shall be	
	D: 1	regulated accordingly)	
D. GIVID OL CODDIVI	Priodontes maximus	<u> </u>	l
DASYUROMORPHIA	<u> </u>		
Dasyuridae Dunnarts			
	Sminthopsis longicaudata		
	Sminthopsis psammophila		
DIPROTODONTIA			
Macropodidae Kangaroos, wallabies			
		Dendrolagus inustus	
		 	
		Dendrolagus ursinus	
	Lagorchestes hirsutus		
	Lagostrophus fasciatus		
	Onychogalea fraenata		
Phalangeridae Cuscuses			
		Phalanger	
		intercastellanus	
		Phalanger mimicus	
		Phalanger orientalis	
		Spilocuscus kraemeri	
		Spilocuscus	
		maculatus	
		Spilocuscus	
		papuensis	
Potoroidae		1.55	·
Rat-kangaroos	Detterning	I	r
	Bettongia spp.	<u> </u>	L
Vombatidae Wombats	<u> </u>	······	r
	Lasiorhinus krefftii		
LAGOMORPHA			
Leporidae Hares, rabbits			
	Caprolagus hispidus		
·	Romerolagus diazi		
MONOTREMATA	<u> </u>	L	L
Tachyglossidae	<u> </u>		
Echidnas, spiny anteaters			
Latitude, Spirity discourts	L		

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		Appendices	
	I	II	III
		Zaglossus spp.	
PERAMELEMORPHIA			
Peramelidae Bandicoots, echymiperas			
	Perameles bougainville		
Thylacomyidae Bilbies		·	
	Macrotis lagotis		
PERISSODACTYLA		i	·
Equidae Horses, wild asses, zebras			
	Equus africanus (Excludes the domesticated form, which is referenced as Equus asimus, and is not subject to the provisions of the Convention)		
	Equus grevyi		
	, , , , , , , , , , , , , , , , , , ,	Equus hemionus (Except the subspecies included in Appendix I)	
	Equus hemionus hemionus		
	Equus <i>hemionus</i> khur		
		Equus kiang	
	Equus przewalskii		
		Equus zebra hartmannae	
		Equus zebra zebra	
Rhinocerotidae Rhinoceroses		Liquis Zeora Zeora	İ
	Rhinocerotidae spp. (Except the subspecies included in Appendix II)		

		Appendices	
	I	II	III
		Ceratotherium simum simum (Only the populations of Eswatini ^{A8} , Namibia ^{A0} and South Africa ^{A8} , all other populations are included in Appendix I)	
Tapiridae Tapirs			
	Tapiridae spp. (Except the species included in Appendix II)		
Direct ID office		Tapirus terrestris	
PHOLIDOTA			
Manidae Pangolins		Manis spp. (Except	
		the species included	
	Manis crassicaudata	in Appendix I)	
	Manis culionensis		
	Manis gigantea		
	Manis javanica		
	Manis pentadactyla		
	Manis temminckii		
	Manis tetradactyla		
	Manis tricuspis		
PILOSA			
Bradypodidae Three-toed sloths		-	
		Bradypus pygmaeus	
		Bradypus variegatus	
Myrmecophagidae American anteaters			
		Myrmecophaga tridactyla	

The populations of Eswatini and South Africa of Ceratotherium simum are included in Appendix II for the exclusive purpose of allowing international trade in live animals to appropriate and acceptable destinations and hunting trophies. All other specimens shall be deemed to be specimens of species included in Appendix I and the trade in them shall be regulated accordingly.

The population of Namibia of Ceratotherium simum is included in Appendix II for the exclusive purpose of allowing international trade in live animals for in-situ conservation only, and only within the natural and historical range of Ceratotherium simum in Africa. All other specimens shall be deemed to be specimens of species included in Appendix I and the trade in them shall be regulated accordingly.

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		Appendices	
	I	II	III
			Tamandua
			mexicana
			(Guatemala)
PRIMATES Apes,			
monkeys		PRIMATES spp.	T
		(Except the species	
		included in	
		Appendix I)	
Atelidae Howler			
monkeys, spider monkeys		I	
	Alouatta coibensis		
	Alouatta palliata		
	Alouatta pigra		
	Ateles geoffroyi frontatus		
	Ateles geoffroyi omatus		
	Brachyteles arachnoides		
	Brachyteles hypoxanthus		
	Oreonax flavicauda		<u> </u>
Cebidae Marmosets, tamarins, new-world			
monkeys			
	Callimico goeldii		
	Callithrix aurita		
	Callithrix flaviceps		
	Leontopithecus spp.		
	Saguinus bicolor		
	Saguinus geoffroyi		
	Saguinus leucopus		
	Saguinus martinsi		
	Saguinus oedipus		1
	Saimiri oerstedii		
Cercopithecidae Old-world monkeys		<u> </u>	
	Cercocebus galeritus		
	Cercopithecus diana		
	Cercopithecus roloway		
	Macaca silenus		
	Macaca sylvanus		
	Mandrillus leucophaeus		
	Mandrillus sphinx		
	Nasalis larvatus		
	<i>Piliocolobus</i> kirkii		
	Piliocolobus rufomitratus		
	Presbytis potenziani		

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		Appendices	
	I	II	III
	Pygathrix spp.		
·	Rhinopithecus spp.		
	Semnopithecus ajax		
	Semnopithecus		
	dussumieri		
	Semnopithecus entellus		
	Semnopithecus hector		
	Semnopithecus hypoleucos		
	Semnopithecus priam		
	Semnopithecus schistaceus		
	Simias concolor		1
	Trachypithecus geei		
	Trachypithecus pileatus	İ	
	Trachypithecus shortridgei		
Cheirogaleidae Dwarf lemurs	3	J	
	Cheirogaleidae spp.		
Daubentoniidae Aye-aye		•	
	Daubentonia madagascariensis		
Hominidae Apes, chimpanzees, gorillas, orang-utans	J	4	
	Gorilla beringei		
·	Gorilla gorilla	İ	
	Pan spp.		
***************************************	Pongo abelii		
	Pongo pygmaeus		
Hylobatidae Gibbons			
·	Hylobatidae spp.		
Indriidae Indris, sifakas, woolly lemurs		······································	
·	Indriidae spp.		
Lemuridae Large lemurs		***************************************	
	Lemuridae spp.		
Lepilemuridae Sportive lemurs			
	Lepilemuridae spp.		
Lorisidae Lorises			
	Nycticebus spp.		
Pitheciidae Sakis, uakaris			

		Appendices	
	I	II	III
	Cacajao spp.		
	Chiropotes albinasus		
PROBOSCIDEA			
Elephantidae Elephants			
	Elephas maximus		
	Loxodonta africana (Except the populations of Botswana, Namibia, South Africa and Zimbabwe, which are included in Appendix II subject to annotation 2)		
		Loxodonta Africana ²² (Only the populations of Botswana, Namibia, South Africa and Zimbabwe; all other populations are included in Appendix I)	

- ² Populations of Botswana, Namibia, South Africa and Zimbabwe (listed in Appendix II): For the exclusive purpose of allowing:
 - trade in hunting trophies for non-commercial purposes;
 - trade in live animals to appropriate and acceptable destinations, as defined in Resolution Conf. 11.20 (Rev. CoP18), for Botswana and Zimbabwe and for in situ conservation programmes for Namibia and South Africa:
 - trade in hides;
 - trade in hair;
 - trade in leather goods for commercial or non-commercial purposes for Botswana, Namibia and South Africa and for non-commercial purposes for Zimbabwe;
 - trade in individually marked and certified ekipas incorporated in finished jewellery for non-commercial purposes for Namibia and ivory carvings for non-commercial purposes for Zimbabwe;
 - trade in registered raw ivory (for Botswana, Namibia, South Africa and Zimbabwe, whole tusks and pieces) subject to the following:
 - i) only registered government-owned stocks, originating in the State (excluding seized ivory and ivory of unknown origin);
 - ii) only to trading partners that have been verified by the Secretariat, in consultation with the Standing Committee, to have sufficient national legislation and domestic trade controls to ensure that the imported ivory will not be re-exported and will be managed in accordance with all requirements of Resolution Conf. 10.10 (Rev. CoP18) concerning domestic manufacturing and trade:
 - not before the Secretariat has verified the prospective importing countries and the registered government-owned stocks;
 - raw ivory pursuant to the conditional sale of registered government-owned ivory stocks agreed
 - at CoP12, which are 20,000 kg (Botswana), 10,000 kg (Namibia) and 30,000 kg (South Africa); in addition to the quantities agreed at CoP12, government-owned ivory from Botswana, Namibia, South Africa and Zimbabwe registered by 31 January 2007 and verified by the Secretariat may be traded and despatched, with the ivory in paragraph g) iv) above, in a single sale per destination under strict supervision of the Secretariat;
 - the proceeds of the trade are used exclusively for elephant conservation and community conservation and development programmes within or adjacent to the elephant range; and the additional quantities specified in paragraph g) v) above shall be traded only after the Stand-
 - ing Committee has agreed that the above conditions have been met; and

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		Appendices	
	I	II	III
RODENTIA			(8.2)
Chinchillidae			
Chinchillas			
	Chinchilla spp.		
	(Specimens of the		1
	domesticated form are not		
	subject to the provisions		
	of the Convention)		
Cuniculidae Pacas			
			Cuniculus paca
			(Honduras)
Dasyproctidae Agoutis			
			Dasyprocta
			punctata
			(Honduras)
Erethizontidae		***************************************	
New-world porcupines			
			Sphiggurus
			mexicanus
			(Honduras)
			Sphiggurus
			spinosus
			(Uruguay)
Muridae Mice, rats		***************************************	
		Leporillus conditor	
		Pseudomys fieldi	
		Xeromys myoides	
		Zyzomys	
		pedunculatus	
Sciuridae Ground		1.1	.41
squirrels, tree squirrels			
	Cynomys mexicanus	I	
	Sylloniy S moneculos		Marmota caudata
			(India)
			Marmota
			himalayana
			(India)
		Daturfa ann	(IIIIIa)
		Ratufa spp.	<u>.i</u>

h) no further proposals to allow trade in elephant ivory from populations already in Appendix II shall be submitted to the Conference of the Parties for the period from CoP14 and ending nine years from the date of the single sale of ivory that is to take place in accordance with provisions in paragraphs

g) i), g) ii), g) iii), g) w) and vii). In addition such further proposals shall be dealt with in accordance with Decisions 16.55 and 14.78 (Rev. CoP16).

On a proposal from the Secretariat, the Standing Committee can decide to cause this trade to cease partially or completely in the event of non-compliance by exporting or importing countries, or in the case of proven detrimental impacts of the trade on other elephant populations.

All other specimens shall be deemed to be specimens of species included in Appendix I and the trade in them shall be regulated accordingly.

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		Appendices	
	I	II	III
SCANDENTIA	422		
Tree shrews		.,	·
		SCANDENTIA spp.	
SIRENIA			
Dugongidae Dugong			
	Dugong dugon	<u> </u>	<u> </u>
Trichechidae Manatees			·
	Trichechus inunguis		
	Trichechus manatus		
	Trichechus senegalensis	<u> </u>	
CLASS AVES (BIRDS)			
ANSERIFORMES			
Anatidae Ducks, geese,			
swans, etc.		7	Y
	Anas aucklandica		
		Anas bernieri	
	Anas chlorotis		
		Anas formosa	
	Anas laysanensis		
	Anas nesiotis		
	Asarcornis scutulata		
	Branta canadensis		
	leuc opareia	Branta ruficollis	
	Branta sandvicensis	Втапіа типсошія	
	Brania sandvicensis	Coscoroba coscoroba	
		Cygnus melancoryph us	
		Dendrocygna arborea	
		Dentaree) gina tareerea	Dendrocygna
			autumnalis
			(Honduras)
			Dendrocygna
			bicolor
		011-1	(Honduras)
	D1-1	Oxyura leucocephala	
	Rhodonessa caryophyllacea		
	сшуорнунасса	Sarkidiornis	
		melanotos	
APODIFORMES			1
Trochilidae			
Hummingbirds			

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		Appendices	
	I	II	III
		Trochilidae spp. (Except the species included in Appendix I)	
	Glaucis dohrnii	111111111111111111111111111111111111111	
CHARADRIIFORMES		L	
Burhinidae Thick-knees			
			Burhinus bistriatus (Guatemala)
Laridae Gulls			
	Larus relictus		
Scolopacidae Curlews, greenshanks			
	Numenius borealis		
	Numenius tenuirostris		
	Tringa guttifer		<u> </u>
CICONIIFORMES	<u> </u>		
Balaenicipitidae Shoebills, whale-headed storks			
		Balaeniceps rex	
Ciconiidae Storks			
	Ciconia boyciana		
		Ciconia nigra	
	Jabiru mycteria		
	Mycteria cinerea		<u> </u>
Phoenicopteridae			
Flamingos		Phoenicopteridae spp.	
Threskiornithidae Ibises, spoonbills		i shb.	
	<u> </u>	Eudocimus ruber	<u> </u>
		Geronticus calvus	
	Geronticus eremita		
	Nipponia nippon		
		Platalea leucorodia	
COLUMBIFORMES			
Columbidae Doves, pigeons			
	Caloenas nicobarica		
	Ducula mindorensis		
		Gallicolumba luzonica	
		Goura spp.	

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		Appendices	
	I	II	III
			Nesoenas mayeri (Mauritius)
CORACIIFORMES			
Bucerotidae Hornbills			
		Aceros spp. (Except the species included in Appendix I)	
	Aceros nipalensis		
		Anorrhinus spp.	
		Anthracoceros spp.	
		Berenicornis spp.	
		Buceros spp. (Except the species included in Appendix I)	
	Buceros bicomis		
		Penelopides spp.	İ
	Rhinoplax vigil		
		Rhyticeros spp. (Except the species included in Appendix I)	
	Rhyticeros subruficollis		
CUCULIFORMES			
Musophagidae Turacos			
		Tauraco spp.	
FALCONIFORMES Eagles, falcons, hawks, vultures			
		FALCONIFORMES spp. (Except Caracara lutosa and the species of the family Cathartidae, which are not included in the Appendices; and the species included in Appendices I and III)	
Accipitridae Hawks, eagles			
	Aquila adalberti		
	Aquila heliaca		
	Chondrohierax uncinatus wilsonii		
	Haliaeetus albicilla		
	Harpia harpyja		

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		Appendices	
	I	II	III
	Pithecophaga je fferyi		
Cathartidae New-world vultures			
	Gymnogyps californianus		
			Sarcoramphus
			papa (Honduras)
P.1 '1 P.1	Vultur gryphus		<u> </u>
Falconidae Falcons	F-1		T
	Falco araeus		
	Falco jugger		
	Falco newtoni (Only the population of Seychelles)		
	Falco pelegrinoides		
	E		
	Falco peregrinus		İ
	Falco punctatus		
GALL WORLD	Falco rusticolus	<u> </u>	<u> </u>
GALLIFORMES			
Cracidae Chachalacas, currassows, guans			
currassows, guaris		I	
			Crax alberti (Colombia)
	Crax blumenbachii		(Colombia)
	Clax of uniterioacini		Crax daubentoni
			(Colombia)
			Crax globulosa
			(Colombia)
			Crax rubra
			(Colombia,
			Guatemala,
			Honduras)
	Mitu mitu		
	Oreophasis derbianus		
			Ortalis vetula
			(Guatemala,
			Honduras)
			Pauxi pauxi (Colombia)
	Penelope albipennis		(Coloniola)
	i enerope atorpenins		Penelope
			purpurascens
			(Honduras)
			Penelopina nigra
			(Guatemala)
	Pipile jacutinga		
	Pipile pipile		

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		Appendices	
	I	II	III
Megapodiidae			
Megapodes, scrubfowl		·	
	Macrocephalon maleo	<u> </u>	
Phasianidae Grouse,			
guineafowl, partridges, peafowl, pheasants,			
tragopans			
		Argusianus argus	
	Catreus wallichii		
	Colinus virginianus		
	ridgwayi		
	Crossoptilon crossoptilon		
	Crossoptilon		
	mantchuricum		
		Gallus sonneratii	
		Ithaginis cruentus	
	Lophophorus impejanus		
	Lophophorus lhuysii		
	Lophophorus sclateri		
	Lophura edwardsi		
			Lophura
			leucomelanos (Pakistan)
	Lophura swinhoii		(Pakistali)
	Lopitura swithion		Meleagris ocellata
			(Guatemala)
			Pavo cristatus
			(Pakistan)
		Pavo muticus	70.00
		Polyplectron	
		bicalcaratum	
		Polyplectron germaini	
		Polyplectron	
		malacense	
	Polyplectron napoleonis		
		Polyplectron schleiermacheri	
		SCHEIGHHACHGH	Pucrasia
			macrolopha
			(Pakistan)
	Rheinardia ocellata		55
	Syrmaticus ellioti		
	Syrmaticus humiae		
	Syrmaticus mikado		
		Syrmaticus reevesii	

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		Appendices	
	I	II	III
	Tetraogallus caspius		
	Tetraogallus tibetanus		
	Tragopan blythii		
	Tragopan caboti		
	Tragopan melanocephalus		
			Tragopan satyra (Nepal)
		Tympanuchus cupido attwateri	
GRUIFORMES		!	!
Gruidae Cranes			
		Gruidae spp. (Except the species included in Appendix I)	
	Balearica pavonina		
	Grus americana		
	Grus canadensis nesiotes		
	Grus canadensis pulla		
	Grus japonensis		
	Grus leucogeranus		
	Grus monacha		
	Grus nigricollis		
	Grus vipio		
Otididae Bustards			
		Otididae spp. (Except the species included in Appendix I)	
	Ardeotis nigriceps		
	Chlamydotis macqueenii		
	Chlamydotis undulata		
	Houbaropsis bengalensis		
Rallidae Rails		······	
	Gallirallus sylvestris		
Rhynochetidae Kagu		i	·
	Rhynochetos jubatus		
PASSERIFORMES			
Atrichornithidae Scrub-birds			
	Atrichornis clamosus		
Cotingidae Cotingas			
			Cephalopterus ornatus (Colombia)

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		Appendices	
	I	II	III
			Cephalopterus
			penduliger
			(Colombia)
	Cotinga maculata		
		Rupicola spp.	
	Xipholena atropurpurea		
Emberizidae Cardinals,			
tanagers		I a 1	I
		Gubernatrix cristata	ļ
		Paroaria capitata	
		Paroaria coronata	
		Tangara fastuosa	<u> </u>
Estrildidae Mannikins, waxbills			
		Amandava formosa	
		Lonchura oryzivora	
		Poephila cincta cincta	
Fringillidae Finches		<u> </u>	<u> </u>
<u>V</u>	Carduelis cucullata		[
		Carduelis yarrellii	
Hirundinidae Martins		Caracens yarrenn	L
TH distillation Watches	Pseudochelidon sirintarae		İ
Icteridae New-world	Pseudochendon similarae	<u> </u>	<u> </u>
blackbirds			
olackonus	Vanth one an floring	<u> </u>	I
N (-1:-1: 1	Xanthopsar flavus	<u> </u>	<u> </u>
Meliphagidae Honeyeaters			
Troneyeaters		Lichenostomus	I
		melanops cassidix	
Muscicapidae Old-world			L
flycatchers			
			Acrocephalus
			rodericanus
22.000			(Mauritius)
		Cyomis ruckii	
		Dasyornis broadbenti litoralis	
		Dasyornis longirostris	
		Garrulax canorus	
		Garrulax taewanus	
	<u> </u>	Leiothrix argentauris	
		Leiothrix lutea	
		Liocichla omeiensis	
	Dipathanta	LIOCICIIIA OIIIEIEIISIS	<u> </u>
	Picathartes		
	gymnocephalus	<u> </u>	<u> </u>

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		Appendices	
	I	II	III
	Picathartes oreas		
			Terpsiphone bourbonnensis (Mauritius)
Paradisaeidae			
Birds of paradise			
		Paradisaeidae spp.	<u> </u>
Pittidae Pittas		·	
		Pitta guajana	<u> </u>
	Pitta gurneyi		<u> </u>
	Pitta kochi		
		Pitta nympha	
Pycnonotidae Bulbuls			
		Pycnonotus zeylanicus	
Sturnidae Mynas, starlings		1 20 mineus	<u>u</u>
		Gracula religiosa	T
	Leucopsar rothschildi		
Zosteropidae White-eyes	l December 1001120111141	<u>!</u>	<u></u>
	Zosterops albogularis		T
PELECANIFORMES	200terops troogularis	<u>l</u>	<u> 1</u>
Fregatidae Frigatebirds	<u> </u>		
	Fregata andrewsi		T
Pelecanidae Pelicans	i regata takarewsi		
1 ciccandae i cheans	Pelecanus crispus		T
Sulidae Gannets	Felecanus crispus	<u>l</u>	1
Sundae Gainlets	Papasula abbotti		
PICIFORMES	Fapasuia autoui		.1
Capitonidae Barbets			
Capitoniuae Daivets	<u> </u>		Semnornis
			ramphastinus (Colombia)
Picidae Woodpeckers			
	Dryocopus javensis richardsi		
Ramphastidae Toucans			
			Baillonius bailloni (Argentina)
		Pteroglossus aracari	
			Pteroglossus
			castanotis
	<u> </u>		(Argentina)
	<u> </u>	Pteroglossus viridis	<u> </u>

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		Appendices	
	I	II	III
			Ramphastos
			dicolorus
			(Argentina)
		Ramphastos	
		sulfuratus	
		Ramphastos toco	
		Ramphastos tucanus	
		Ramphastos vitellinus	
			Selenidera
			maculirostris
		<u> </u>	(Argentina)
PODICIPEDIFORMES			
Podicipedidae Grebes		.,	,
	Podilymbus gigas		
PROCELLARIIFORMES			
Diomedeidae			× × × × × × × × × × × × × × × × × × ×
Albatrosses			,
	<i>Phoebastria</i> albatrus		
PSITTACIFORMES			
		PSITTACIFORMES	
		spp. (Except the	
		species included	
		in Appendix I and Agapornis roseicollis,	
		Melopsittacus	
		undulatus, Nymphicus	
		hollandicus and	
		Psittacula krameri,	
		which are not	
		included in the	
a		Appendices)	
Cacatuidae Cockatoos		!	
	Cacatua goffiniana		
	Cacatua haematuropygia		
	Cacatua moluccensis		
	Cacatua sulphurea		
	Probosciger aterrimus		
Loriidae Lories, lorikeets			
	Eos histrio		
	Vini ultramarina		
Psittacidae Amazons, macaws, parakeets, parrots			
	Amazona arausiaca		

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	Appendices	
I	II	III
Amazona auropalliata		
Amazona barbadensis		
Amazona brasiliensis		
Amazona finschi		
Amazona guildingii		
Amazona imperialis		
Amazona leucocephala		
Amazona oratrix		
Amazona pretrei		
Amazona rhodocorytha		
Amazona tucumana		
Amazona versicolor		
Amazona vinacea		
Amazona viridigenalis		
Amazona vittata		
Anodorhynchus spp.		
Ara ambigu us		
Ara glaucogularis		
Ara macao		
Ara militaris		
Ara rubrogenys		
Cyanopsitta spixii		
Cyanoramphus cookii		
Cyanoramphus forbesi		
Cyanoramphus novaez-		
elandiae		
Cyanoramphus saisseti		
Cyclopsitta diophthalma coxeni		
Eunymphicus cornutus		
Guarouba guarouba		
Neophema chrysogaster		
Ognorhynchus icterotis		
Pezoporus occidentalis		
Pezoporus wallicus		
Pionopsitta pileata		
Primolius couloni		
Primolius maracana		
Psephotus		
chrysopterygius		
Psephotus dissimilis		
Psephotus pulcherrimus		
Psittacula echo		

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		Appendices	
	I	II	III
	Psittacus erithacus		
100	Pyrrhura cruentata		
5 A A A A A A A A A A A A A A A A A A A	Rhynchopsitta spp.		
	Strigops habroptilus		
RHEIFORMES	Strigets interested	<u>i</u>	
Rheidae Rheas			
I dicidae I dicas	Pterocnemia pennata		
	(Except Pterocnemia		
	pennata pennata which is		
	included in Appendix II)		
7.77	WHO JA 550H	Pterocnemia pennata	
		pennata	
		Rhea americana	
SPHENISCIFORMES		***************************************	·
Spheniscidae Penguins			
		Spheniscus demersus	
Try about	Spheniscus humboldti		
STRIGIFORMES Owls	1	I	L
		STRIGIFORMES	
		spp. (Except the	
		species included	
77		in Appendix I and	
		Sceloglaux albifacies)	
Strigidae Owls		,	,
1 H 1 H 1 H 1 H 1 H 1 H 1 H 1 H 1 H 1 H	Heteroglaux blewitti		
	Mimizuku gurneyi		
) 	Ninox natalis		
Tytonidae Barn owls			
	Tyto soumagnei		
STRUTHIONIFORMES		*	· · · · · · · · · · · · · · · · · · ·
Struthionidae Ostriches			
	Struthio camelus (Only		
	the populations of Algeria,		
	Burkina Faso, Cameroon,		
	the Central African		
	Republic, Chad, Mali, Mauritania, Morocco, the		
	Niger, Nigeria, Senegal		
	and the Sudan; all other		
	populations are not		
	included in the Appendices)		
TINAMIFORMES	11ppointeos)	<u> </u>	
Tinamidae Tinamous			
Thamuae Illiamous	Tinomus solitorius		
TROCONIECRMES	Tinamus solitarius	<u> </u>	<u> </u>
TROGONIFORMES			
Trogonidae Quetzals	<u> </u>		

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		Appendices	
	I	II	III
	Pharomachrus mocinno		
CLASS REPTILIA (REPTILES)		4	
CROCODYLIA			
Alligators,			
caimans, crocodiles			
		CROCODYLIA	
		spp. (Except the	
		species included in	
		Appendix I)	<u> </u>
Alligatoridae Alligators, caimans			
	Alligator sinensis		
	Caiman crocodilus		
	apaporiensis Caiman		
	latirostris (Except the		
	population of Argentina,		
	which is included in		
	Appendix II)		
	Melanosuchus niger		
	(Except the population of		
	Brazil, which is included		
	in Appendix II, and the		
	population of Ecuador,		
	which is included in		
	Appendix II and is subject to a zero annual export		
	quota until an annual		
	export quota has been		
	approved by the CITES		
	Secretariat and the IUCN/		
	SSC Crocodile Specialist		
	Group)		
Crocodylidae Crocodiles		<u> </u>	I
- creedly name creedling	Crocodylus acutus	[[
	(Except the population		
	of the Integrated		
	Management District of		
	Mangroves of the Bay of		
	Cispata, Tinajones, La		
	Balsa and Surrounding		
	Areas, Department of Córdoba, Colombia, and		
	the population of Cuba,		
	which are included in		
	Appendix II; and the		
	population of Mexico,		
	which is included in		
	Appendix II and is subject		
	to a zero export quota for wild specimens for		
	commercial purposes)		
	Commercial purposes)	L	i

	Appendices	
I	II	III
Crocodylus cataphractus		72,000
Crocodylus intermedius		
Crocodylus mindorensis		
Crocodylus moreletii		
(Except the population of Belize, which is included		
in Appendix II with a zero		
quota for wild specimens		
traded for commercial		
purposes, and the		
population of Mexico,		
which is included in Appendix II)		
Crocodylus niloticus		
[Except the populations of Botswana, Egypt (subject		
to a zero quota for wild		
specimens traded for		
commercial purposes),		
Ethiopia, Kenya,		
Madagascar, Malawi,		
Mozambique, Namibia,		
South Africa, Uganda,		
the United Republic of		
Tanzania (subject to an		
annual export quota of		
no more than 1,600 wild		
specimens including		
hunting trophies, in addition to ranched		
specimens), Zambia and		
Zimbabwe, which are		
included in Appendix II]		
Crocodylus palustris		
Crocodylus porosus		
Except the populations		
of Australia, Indonesia,		
Malaysia [wild harvest		
restricted to the State of		
Sarawak and a zero quota		
for wild specimens for the		
other States of Malaysia		
(Sabah and Peninsular		
Malaysia), with no change		
in the zero quota unless		
approved by the Parties]		
and Papua New Guinea,		
which are included in Appendix II }		
Crocodylus rhombifer		
Crocodylus siamensis		

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		Appendices	
	I	II	III
	Osteolaemus tetraspis		
	Tomistoma schlegelii	<u> </u>	
Gavialidae Gavials			
	Gavialis gangeticus		
RHYNCHOCEPHALIA			
Sphenodontidae Tuataras			
	Sphenodon spp.		
SAURIA			
Agamidae Spiny-tailed			
lizards, agamas			
		Ceratophora aspera	
		(Zero quota for	
		wild specimens for commercial purposes)	
	Ceratophora erdeleni	commercial purposes)	
	Ceratophora karu		
	Сегшорноги каги	Coratanhara	
		Ceratophora stoddartii (Zero quota	
		for wild specimens	
		for commercial	
		purposes)	
	Ceratophora tennentii		
	Cophotis ceylanica		
	Cophotis dumbara		
		Lyriocephalus	
		scutatus (Zero quota	
		for wild specimens	
		for commercial purposes)	
		Saara spp.	
		Uromastyx spp.	
Anguidae Alligator		i cromasya spp.	
lizards			
		Abronia spp. [Except	
		the species included	
		in Appendix I (zero	
		export quota for wild specimens for	
		Abronia aurita,	
		A. gaiophantasma,	
		A. montecristoi,	
		A. salvadorensis and A. vasconcelosii)]	
	Abronia anzuetoi	[,	
	Abronia campbelli		
	Abronia fimbriata		
	Abronia frosti		
	Avronia frosti	_iii	

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		Appendices	
	I	II	III
	Abronia meledona		
Chamaeleonidae			- 1
Chameleons			
		Archaius spp.	
		Bradypodion spp.	
		Brookesia spp.	
		(Except the	
		species included in Appendix I)	
	Brookesia perarmata	Appendix 1)	
	Biookesia pelaimata	Calumma spp.	
		Chamaeleo spp.	
		Furcifer spp.	
		Kinyongia spp. Nadzikambia spp.	
		Palleon spp.	
		1	
		Rhampholeon spp.	
		Rieppeleon spp.	
Condulidae Spins tailed		Trioceros spp.	<u> </u>
Cordylidae Spiny-tailed lizards			
		Cordylus spp.	
		Hemicordylus spp.	
		Karusaurus spp.	
		Namazonurus spp.	
		Ninurta spp.	
		Ouroborus spp.	
		Pseudocordylus spp.	
		Smaug spp.	
Eublepharidae Eyelid		1	
geckos			
		Goniurosaurus spp.	
		(Except the species	
~ 11 11 = 1		native to Japan)	<u> </u>
Gekkonidae Geckos		I	·
	Cnemaspis psychedelica		
			Dactylocnemis
			spp. (New Zealand)
		Gekko gecko	
	Gonatodes daudini	2000	
			Hoplodactylus
			spp. (New
			Zealand)
	Lygodactylus williamsi		

	Appendices	
I	II	III
		Mokopirirakau spp. (New Zealand)
	Nactus serpensinsula	
	Naultinus spp.	
	Paroedura androyensis	
	Paroedura masobe	
	Phelsuma spp.	
	Rhoptropella spp.	
		Sphaerodactylus armasi (Cuba)
		Sphaerodactylus celicara (Cuba)
		Sphaerodactylus dimorphicus (Cuba)
		Sphaerodactylus intermedius (Cuba)
		Sphaerodactylus nigropunctatus alayoi (Cuba)
		Sphaerodactylus nigropunctatus granti (Cuba)
		Sphaerodactylus nigropunctatus lissodesmus (Cuba)
		Sphaerodactylus nigropunctatus
		ocujal (Cuba) Sphaerodactylus nigropunctatus
		strategus (Cuba)
		Sphaerodactylus notatus atactus (Cuba)
		Sphaerodactylus oliveri (Cuba)
		Sphaerodactylus pimienta (Cuba)
		Sphaerodactylus ruibali (Cuba)
		Sphaerodactylus siboney (Cuba)

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		Appendices	
	I	II	III
			Sphaerodactylus torrei (Cuba)
			Toropuku spp. (New Zealand)
			Tukutuku spp. (New Zealand)
		Uroplatus spp.	Woodworthia spp. (New Zealand)
Helodermatidae Beaded			1
lizards, Gila monsters			
		Heloderma spp. (Except the subspecies included in Appendix I)	
	Heloderma horridum charlesbogerti		
Iguanidae Iguanas			
		Amblyrhynchus	
		cristatus	
	Brachylophus spp.		
		Conolophus spp.	
		Ctenosaura spp.	
	Cyclura spp.		
		Iguana spp.	
		Phrynosoma blainvillii	
		Phrynosoma cerroense	
		Phrynosoma	
		coronatum	
		Phrynosoma wigginsi	
	Sauromalus varius		<u> </u>
Lacertidae Lizards			·
	Gallotia simonyi		
		Podarcis lilfordi	
		Podarcis pityusensis	<u> </u>
Lanthanotidae Earless monitor lizards			
		Lanthanotidae spp. (Zero export quota for wild specimens for commercial purposes)	
Polychrotides Angles	<u> </u>	[commercial purposes)	I
Polychrotidae Anoles			Anolis agueroi (Cuba)

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		Appendices	7
	I	II	III
			Anolis baracoae
			(Cuba)
			Anolis barbatus
			(Cuba)
			Anolis
			chamaeleonides (Cuba)
			Anolis equestris (Cuba)
			Anolis
			guamuhaya
			(Cuba)
			Anolis
			luteogularis
			(Cuba)
			Anolis
			pigmaequestris (Cuba)
			Anolis porcus
			(Cuba)
Scincidae Skinks			<u>-i</u>
		Corucia zebrata	
Teiidae Caiman lizards, tegu lizards			
8		Crocodilurus	T
		amazonicus	
		Dracaena spp.	
		Salvator spp.	
		Tupinambis spp.	
Varanidae Monitor			·i
lizards			
		Varanus spp. (Except	
		the species included	1
		in Appendix I)	
	Varanus bengalensis		1
	Varanus flavescens		
	Varanus griseus		
	Varanus komodoensis		
	Varanus nebulosus	<u> </u>	<u> </u>
Xenosauridae Chinese			
crocodile lizard	01	T	T
OPD DELIME C	Shinisaurus crocodilurus	<u> </u>	<u> </u>
SERPENTES	<u> </u>		
Boidae Boas	<u> </u>		

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		Appendices	
	I	II	III
		Boidae spp. (Except	
		the species included	
		in Appendix I)	
	Acrantophis spp.		
	Boa constrictor occidentalis		
	Epicrates inornatus		
	Epicrates monensis		
	Epicrates subflavus		
	Sanzinia		
	madagascariensis		
Bolyeriidae Round		<u></u>	.1
Island boas			
		Bolyeriidae spp.	
		(Except the	
		species included in Appendix I)	
	D alvaria multa carinata	III Appendix 1)	
	Bolyeria multocarinata Casarea dussumieri		
Colubridae Typical	Casarea dussumen	<u> </u>	
snakes, water snakes,			
whipsnakes			
			Atretium
			schistosum (India)
			Cerberus rynchops
		C1 1' 1 1'	(India)
		Clelia clelia	
		Cyclagras gigas	
		Elachistodon westermanni	
		Ptyas mucosus	
		rtyas mucosus	Xenochrophis
			piscator (India)
			Xenochrophis
			schnurrenbergeri
			(India)
			Xenochrophis tytleri (India)
Elapidae Cobras,			
coral snakes			· _T
		Hoplocephalus bungaroides	
		oungaroides	Micrurus diastema
			(Honduras)
			Micrurus
			nigrocinctus
	<u> </u>		(Honduras)

		Appendices	
	I	II	III
			Micrurus ruatanus
		27.1	(Honduras)
		Naja atra	
		Naja kaouthia	
		Naja mandalayensis	
		Naja naja	
		Naja oxiana	
		Naja philippinensis	
		Naja sagittifera	
		Naja samarensis	
		Naja siamensis	
		Naja sputatrix	
		Naja sumatrana	
L ava aomi da - Mi	 	Ophiophagus hannah	<u> </u>
Loxocemidae Mexican dwarf boas			
		Loxocemidae spp.	
Pythonidae Pythons	 	Loxocomout spp:	I
1 Janeila 1 Janeils		Pythonidae	
		spp. (Except the	
		subspecies included	
		in Appendix I)	
	Python molurus molurus	<u> </u>	<u> </u>
Tropidophiidae Wood boas			
wood boas	<u> </u>	Tranidanhiidaaann	I
Vinoridae Vinora	<u> </u>	Tropidophiidae spp.	<u> </u>
Viperidae Vipers		Atheris desaixi	I
		Bitis worthingtoni	
		Dius wormingtom	Crotalus durissus
			(Honduras)
			Daboia russelii
			(India)
		Pseudocerastes	
		urarachnoides	
		Trimeresurus	
		mangshanensis	
	Vipera ursinii (Only the		
	population of Europe, except the area which		
	formerly constituted the		
	Union of Soviet Socialist		
	Republics; these latter		
	populations are not included in the Appendices)		
	п по г противсез)	Vipera wagneri	
	<u> </u>	i . ThoraaQuom	II

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		Appendices	
	I	II	Ш
TESTUDINES	-		****
Carettochelyidae			
Pig-nosed turtles			
		Carettochelys	
		insculpta	
Chelidae		· ··	
Austro-American			
sideneck turtles			
		Chelodina mccordi	
		(Zero export quota	
		for specimens from the wild)	
	Pseudemydura umbrina	the wha)	
Cheloniidae Sea turtles	1 seddenryddia dinornia	<u> </u>	<u> </u>
Cheloridae Sea turdes	Chalaniidaa ann		I
OL 1 1 1 1 0	Cheloniidae spp.	<u> </u>	<u> </u>
Chelydridae Snapping turtles			
		T	Chelydra
			serpentina (United
			States of America)
			Macrochelys
			temminckii
			(United States
D 11 0 11		<u> </u>	of America)
Dermatemydidae Central American river turtles			
American river turnes		Dormatomya marrii	I
The		Dermatemys mawii	<u> </u>
Dermochelyidae Leath- erback turtles			
croack turies	Dormachalyia acriagoa		!
F	Dermochelys coriacea	<u> </u>	<u>i</u>
Emydidae Box turtles, freshwater turtles			
nesitwater turites		Clemmys guttata	İ
		Emydoidea	
		blandingii	
		Glyptemys insculpta	
	Glyptemys muhlenbergii	dispicings inscripin	
	Glypiemys manienberga		Constant
			Graptemys spp. (United States
			of America)
		Malaclemys terrapin	
		Terrapene spp. (Except the	
		species included in	
		Appendix I)	
	Terrapene coahuila		
Geoemydidae Box turtles, freshwater turtles	Terrapene coahuila		<u> </u>

	Appendices	
I	II	III
Batagur <i>affinis</i>		
Batagur baska		
	Batagur borneoensis	
	(Zero quota for	
	wild specimens for	
	commercial purposes)	
	Batagur dhongoka	
	Batagur kachuga	
	Batagur trivittata	
	(Zero quota for	
	wild specimens for	
	commercial purposes)	
	Cuora spp. (Except	
	the species included	
	in Appendix I;	
	zero quota for	
	wild specimens for commercial	
	purposes for Cuora	
	aurocapitata,	
	C. flavomarginata,	
	C. galbinifrons,	
	C. mccordi,	
	C. mouhotti, C. pani,	
	C. trifasciata,	
	C. yunnanensis and C. zhoui)	
Cuora bourreti	C. Zhoui)	
Cuora picturata		
	Cyclemys spp.	
Geoclemys hamiltonii		
	Geoemyda japonica	
	Geoemyda spengleri	
	Hardella thurjii	
	Heosemys annandalii	
	(Zero quota for	
	wild specimens for	
	commercial purposes)	
	Heosemys depressa	
	(Zero quota for	
	wild specimens for	
	commercial purposes)	
	Heosemys grandis	
	Heosemys spinosa	
	Leucocephalon	
	yuwonoi	
	Malayemys	
<u> </u>	macrocephala	<u> </u>

		Appendices	
	I	II	III
100		Malayemys subtrijuga	
	Mauremys annamensis		COMMON TO THE PARTY OF THE PART
			Mauremys
		Marramus ianonica	iversoni (China)
		Mauremys japonica	Mauremys
			megalocephala
			(China)
100 mg/s		Mauremys mutica	
		Mauremys nigricans	
			Mauremys
5			pritchardi (China)
			Mauremys reevesii (China)
No.			Mauremys
100			sinensis (China)
	Melanochelys tricarinata		.00
100 Aug. 100		Melanochelys trijuga	
50 mg	Morenia ocellata		
86		Morenia petersi	
		Notochelys platynota	
			Ocadia glyphistoma
			(China)
			Ocadia philippeni
			(China)
A STATE OF THE STA		Orlitia borneensis	
		(Zero quota for wild specimens for	
100		commercial purposes)	
100		Pangshura spp.	
5 2 2		(Except the	
3		species included in Appendix I)	
	Pangshura tecta	Appendix 1)	
THE STATE OF THE S	i mignissi u totta	Sacalia bealei	
		Sacana Scarci	Sacalia
			pseudocellata
100			(China)
To be a second of the second o		Sacalia quadriocellata	
5		Siebenrockiella crassicollis	
STATE OF THE STATE		Siebenrockiella	
		leytensis	
		Vijayachelys silvatica	

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		Appendices	
	I	II	III
Platysternidae Big-headed turtles			(24)
<u></u>	Platysternidae spp.		<u> </u>
Podocnemididae			
Afro-American			
sideneck turtles		T	I
		Erymnochelys madagascariensis	
		Peltocephalus	
		dumerilian <i>us</i>	
		Podocnemis spp.	
Testudinidae Tortoises		avateau.	
		Testudinidae	
		spp. (Except the species included in	
		Appendix I. A zero	
		annual export quota	
		has been established	
		for Centrochelys sulcata for specimens	
		removed from the	
		wild and traded for	
		primarily commercial	
		purposes)	
	Astrochelys radiata		
	Astrochelys yniphora		
	Chelonoidis niger		
	Geochelone elegans		
	Geochelone platynota Gopherus		
	flavomarginatus		
	Malacochersus tornieri		
	Psammobates		
	geometricus		
	Pyxis arachnoides		
	Pyxis planicauda		
	Testudo kleinmanni		
Trionychidae			
Softshell turtles	<u> </u>	Amrida aguitagina-	I
		Amyda cartilaginea	Apalone ferox
			(United States of
			America)
			Apalone mutica
			(United States
	<u> </u>	<u> </u>	of America)

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		Appendices	
	I	II	III
			Apalone spinifera (Except the subspecies included in Appendix I) (United States of America)
100	Apalone spinifera atra		of America)
	Apaione spingera ara	Chitra spp. (Except the species included in Appendix I)	
	Chitra chitra	,	
1	Chitra vandijki		
3		Cyclanorbis elegans	
		Cyclanorbis senegalensis	
8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8		Cycloderma aubryi	
		Cycloderma	
5 5 5 5		frenatum	
		Dogania subplana	
7.1		Lissemys ceylonensis	
7 AV		Lissemys punctata	
		Lissemys scutata	
888		Nilssonia formosa	
	Nilssonia gangetica		
	Nilssonia hurum		
3 A A A A A A A A A A A A A A A A A A A		Nilssonia leithii	
	Nilssonia nigricans		
2 3 3 3 3		Palea steindachneri	
		Pelochelys spp.	
		Pelodiscus axenaria	
		Pelodiscus maackii	
		Pelodiscus	
		parviformis	
100		Rafetus euphraticus	
		Rafetus swinhoei	
CI ACC A MOTION 4		Trionyx triunguis	
CLASS AMPHIBIA (AMPHIBIANS)			
ANURA			
Aromobatidae			
Cryptic forest frogs		Allobates femoralis	I
		Allobates hodli	
	<u> </u>	Allobates myersi	<u> </u>

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		Appendices	
	I	II	III
		Allobates zaparo	
		Anomaloglossus	
		rufulus	<u> </u>
Bufonidae Toads			T
	Amietophrynus channingi		
	Amietophrynus		
	superciliaris		
	Altiphrynoides spp.		
	Atelopus zeteki		
	Incilius periglenes		
	Nectophrynoides spp.		
	Nimbaphrynoides spp.		
Calyptocephalellidae			
Chilean toads			
			Calyptocephalella
Don duabati das		<u> </u>	gayi (Chile)
Dendrobatidae Poison frogs			
		Adelphobates spp.	<u> </u>
		Ameerega spp.	
		Andinobates spp.	
		Dendrobates spp.	1
		Epipedobates spp.	
		Excidobates spp.	
		Hyloxalus	
		azureiventris	
		Minyobates spp.	
		Oophaga spp.	
		Phyllobates spp.	
		Ranitomeya spp.	
Dicroglossidae Frogs			
		Euphlyctis	
		hexadactylus	
		Hoplobatrachus tigerinus	
Hylidae Tree frogs		1-8	<u> </u>
		Agalychnis spp.	T
Mantellidae Mantella		1 9	4
frogs			
		Mantella spp.	
Microhylidae Tomato			
frogs			
		Dyscophus antongilii	
	<u> </u>	Dyscophus guineti	<u> </u>

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		Appendices	
	I	II	III
		Dyscophus insularis	
		Scaphiophryne	
		boribory	
		Scaphiophryne	
		gottlebei	
		Scaphiophryne	
		marmorata	
		Scaphiophryne	
		spinosa	\$155.455.5465.4555.4555.4555.4555.4555.45
Myobatrachidae			
Gastric-brooding frogs			
		Rheobatrachus	
		spp. (Except	
		Rheobatrachus silus	
		and Rheobatrachus	
		vitellinus which are not included in the	
		Appendices)	
Telmatobiidae		Appendices	<u> </u>
Andean water frogs			
	Telmatobius culeus		İ
CAUDATA			
Ambystomatidae			
Axolotls,			
mole salamanders			
The supplication and the desired part of the plant of the control		Ambystoma dumerilii	
		Ambystoma	
		mexicanum	
Cryptobranchidae		-	ł
Giant salamanders			
	Andrias spp.		
			Cryptobranchus
			alleganiensis
			(United States
			of America)
Hynobiidae Asiatic			
salamanders			T-22
			Hynobius
Salamandridaa Narrta			amjiensis (China)
Salamandridae Newts and salamanders			
ara summuluois		Echinotriton	
		chinhaiensis	
		Echinotriton	
		maxiquadratus	
	Neurergus kaiseri		
		Paramesotriton spp.	
	<u> </u>	i a winesou won spp.	i

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		Appendices	
	I	II	III
			Salamandra
			algira (Algeria)
		Tylototriton spp.	
CLASS			
ELASMOBRANCHII			
(SHARKS)			
CARCHARHINIFORMES			
Carcharhinidae Requiem sharks			
Requiem sharks		Carcharhinus	T
		falciformis	
		Carcharhinus	
		longimanus	
Sphyrnidae		1	-1
Hammerhead sharks			
		Sphyrna lewini	
		Sphyrna mokarran	
		Sphyrna zygaena	
LAMNIFORMES		1-1-5	<u> </u>
Alopiidae Thresher			
sharks			
		Alopias spp.	
Cetorhinidae Basking			
sharks			
		Cetorhinus maximus	
Lamnidae Mackerel		•	
sharks			
		Carcharodon	ı
		carcharias	
		Isurus oxyrinchus	
		Isurus paucus	
		Lamna nasus	
MYLIOBATIFORMES			
Myliobatidae Eagle			
and mobulid rays			
		Manta spp.	
		Mobula spp.	
Potamotrygonidae			
Freshwater stingrays		·	
			Paratrygon
			aiereba
			(Colombia)
			Potamotrygon
			spp. (population of Brazil) (Brazil

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	I	II	III
			Potamotrygon constellata (Colombia) Potamotrygon magdalenae (Colombia) Potamotrygon motoro (Colombia) Potamotrygon orbignyi (Colombia) Potamotrygon schroederi (Colombia) Potamotrygon schroederi (Colombia) Potamotrygon scobina (Colombia) Potamotrygon scobina (Colombia) Potamotrygon yepezi (Colombia)
ORECTOLOBIFORMES			Julius (Colombia)
Rhincodontidae Whale sharks			
		Rhincodon typus	
PRISTIFORMES			
Pristidae Sawfishes			
	Pristidae spp.		
RHINOPRISTIFORMES			
Glaucostegidae Guitarfishes			
		Glaucostegus spp.	
Rhinidae Wedgefishes			
	<u> </u>	Rhinidae spp.	
CLASS ACTINOPTERI (FISHES)			
ACIPENSERIFORMES			
		ACIPENSERIFORMES spp. (Except the species included in Appendix I)	
Acipenseridae Sturgeons			
	Acipenser brevirostrum		
ANGUILI IEODA EC	Acipenser sturio	<u> </u>	
ANGUILLIFORMES			
Anguillidae Freshwater eels			
		Anguilla anguilla	

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		Appendices	
	I	II	III
CYPRINIFORMES			A 30
Catostomidae Cui-ui			
	Chasmistes cujus		T
Cyprinidae Carps			
		Caecobarbus geertsii	<u> </u>
	Probarbus jullieni		
OSTEOGLOSSIFORMES		······································	······
Arapaimidae Arapaimas			
		Arapaima gigas	
Osteoglossidae			
Bonytongue			.,
	Scleropages formosus		
	Scleropages inscriptus		
PERCIFORMES			
Labridae Wrasses		ACTURE WITH THE COLUMN TO THE	ACTUAL DE CONTRACTOR DE CONTRACTOR DE CONTRACTOR DE CONTRACTOR DE CONTRACTOR DE CONTRACTOR DE CONTRACTOR DE C
		Cheilinus undulatus	
Pomacanthidae			
Angelfishes			·
		Holacanthus clarionensis	
Sciaenidae Totoaba			
	Totoaba macdonaldi		
SILURIFORMES			
Loricariidae Armoured catfishes			
			Hypancistrus zebra (Brazil)
Pangasiidae Pangasid catfish		***************************************	
	Pangasianodon gigas		I
SYNGNATHIFORMES			
Syngnathidae Pipefishes, seahorses			
		Hippocampus spp.	I
CLASS DIPNEUSTI (LUNGFISHES)		,	.1
CERATODONTIFORMES			
Neoceratodontidae			
Australian lungfishes			
		Neoceratodus forsteri	
CLASS COELACANTHI (COELACANTHS)			

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		Appendices	
	I	II	III
COELACANTHIFORMES			
Latimeriidae			
Coelacanths		·	·
#1.000m2.000m2.0000000	Latimeria spp.	<u> </u>	<u> </u>
PHYLUM ECHINODERMATA CLASS HOLOTHUROIDEA (SEA CUCUMBERS)			
ASPIDOCHIROTIDA			
Stichopodidae Sea cucumbers			
			Isostichopus fuscus (Ecuador)
HOLOTHURIDA			
Holothuriidae Teatfishes, Sea cucumbers			
PHYLUM ARTHROPODA CLASS ARACHNIDA (SCORPIONS AND SPIDERS)		Holothuria fuscogilva (Entry into effect delayed by 12 months, i.e. until 28 August 2020) Holothuria nobilis (Entry into effect delayed by 12 months, i.e. until 28 August 2020) Holothuria whitmaei (Entry into effect delayed by 12 months, i.e. until 28 August 2020)	
ARANEAE			
Theraphosidae Red-kneed tarantulas, tarantulas			
		Aphonopelma albiceps	
		Aphonopelma pallidum	
		Brachypelma spp.	
	<u> </u>	Poecilotheria spp.	<u> </u>

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		Appendices	
	I	II	III
SCORPIONES			. tan
Scorpionidae Scorpions			
		Pandinus	
		camerounensis	
		Pandinus dictator	
		Pandinus gambiensis	
		Pandinus imperator	
		Pandinus roeseli	
CLASS INSECTA			
(INSECTS)			
COLEOPTERA			
Lucanidae Stag beetles			
			Colophon spp.
		<u> </u>	(South Africa)
Scarabaeidae Scarab beetles			
Scarao beenes		D	T
I EDIDODED A	 	Dynastes satanas	<u> </u>
LEPIDOPTERA	<u> </u>		
Nymphalidae Brush-footed butterflies			
Brush-rooted buttermes	 	T	Agrias amydon
			boliviensis
			(Plurinational
			State of Bolivia)
			Morpho godartii
			(Plurinational
			State of Bolivia)
			Prepona
			praeneste
			buckleyana
			(Plurinational
		<u> </u>	State of Bolivia)
Papilionidae Birdwing butterflies, swallowtail			
butterflies			
	Achillides chikae chikae		<u> </u>
	Achillides chikae hermeli		
		Atrophaneura jophon	
		Atrophaneura	
		pandiyana	
		Bhutanitis spp.	
		Ornithoptera	
		spp. (Except the	
		species included in	
	<u> </u>	Appendix I)	<u> </u>

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		Appendices	
	I	II	III
	Ornithoptera alexandrae		
	Papilio homerus		
		Papilio hospiton	
	Parides burchellanus		
		Parnassius apollo	
		Teinopalpus spp.	
		Trogonoptera spp.	
		Troides spp.	
PHYLUM			
ANNELIDA			
CLASS HIRUDINOIDEA			
(LEECHES)			
ARHYNCHOBDELLIDA			
Hirudinidae Medicinal			
leeches			
		Hirudo medicinalis	
		Hirudo verbana	
PHYLUM			
MOLLUSCA			
CLASS BIVALVIA (CLAMS AND			
MUSSELS)			
MYTILOIDA			
Mytilidae Marine			
mussels			
		Lithophaga	
		lithophaga	<u> </u>
UNIONOIDA			
Unionidae Freshwater			
mussels, pearly mussels	Conradilla caelata		I
	Comauma caerata	Cyprogenia aberti	
	Dromus dromas	Cyprogema averu	
	Epioblasma curtisi		
	Epioblasma florentina		
	Epioblasma sampsonii		
	Epioblasma sulcata		
	perobliqua		
	Epioblasma torulosa		
	gubernaculum		
	Posso	Epioblasma torulosa	
		rangiana	
	Epioblasma torulosa		
	torulosa		
L	Epioblasma turgidula	<u> </u>	<u> </u>

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		Appendices	
	I	II	III
	Epioblasma walkeri		
	Fusconaia cuneolus		
	Fusconaia edgariana		
	Lampsilis higginsii		
	Lampsilis orbiculata		
	orbiculata		
	Lampsilis satur		
	Lampsilis virescens		
	Plethobasus cicatricosus		
	Plethobasus cooperianus		
		Pleurobema clava	
	Pleurobema plenum		
	Potamilus capax		
	Quadrula intermedia		
	Quadrula sparsa		
	Toxolasma cylindrella		
	Unio nickliniana		
	Unio tampicoensis		
	tecomatensis		
VENEROID A	Villosa trabalis	<u> </u>	<u> </u>
VENEROIDA Tridacnidae Giant clams			
Thuachidae Glani Clains		Tridacnidae spp.	I
CLASS		Tridacindae spp.	I .
CEPHALOPODA			
(SQUIDS,			
OCTOPUSES,			
CUTTLEFISH)			
NAUTILIDA			
Nautilidae Chambered nautilus			
I I I I I I I I I I I I I I I I I I I		Nautilidae spp.	l
CLASS GASTROPODA		1 maunuac spp.	L
(SNAILS AND			
CONCHES)			
MESOGASTROPODA			
Strombidae True conchs			
		Strombus gigas	
STYLOMMATOPHORA			
Achatinellidae Agate		rapriadorica em 1400 fasta aspeciancia 400 fasto aspecial.	otracinacincus (1904) (1900) ASSISSANCE ASSISTA
snails, oahu tree snails		T	I
	Achatinella spp.	<u> </u>	<u> </u>
Camaenidae Green			
tree snails	<u> </u>		

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		Appendices	
	I	II	III
		Papustyla	
		pulcherrima	
Cepolidae Helicoid		i	
terrestrial snails			
	Polymita spp.		
PHYLUM		•	•
CNIDARIA			
CLASS ANTHOZOA			
(CORALS AND			
SEA ANEMONES)	<u> </u>		
ANTIPATHARIA			
Black corals		LANGIDAGII A DIA	_T
		ANTIPATHARIA	
COD COMA CE A E	ļ	spp.	
GORGONACEAE			
Coralliidae Red and			
pink corals			
			Corallium elatius
			(China)
			Corallium
			japonicum (China)

			Corallium konjoi (China)
			Corallium
			secundum (China)
HELIOPOR ACE A	<u> </u>	I	зесинии (Спша)
Helioporidae Blue corals			
		Helioporidae spp.	
		(Includes only the species <i>Heliopora</i>	
		coerulea. Fossils	
		are not subject to	
		the provisions of	
		the Convention)	
SCLERACTINIA		······································	
Stony corals			
		SCLERACTINIA	
		spp. (Fossils are	
		not subject to the	
		provisions of the	
	ļ	Convention)	<u></u>
STOLONIFERA			
Tubiporidae			
Organ-pipe corals	<u> </u>		

		Appendices	
	I	II	III
		Tubiporidae spp. (Fossils are not subject to the	
		provisions of the Convention)	
CLASS HYDROZOA (SEA FERNS, FIRE CORALS AND STINGING MEDUSAE)			
MILLEPORINA			
Milleporidae Fire corals			
		Milleporidae spp. (Fossils are not subject to the provisions of the Convention)	
STYLASTERINA		ll	
Stylasteridae Lace corals			
		Stylasteridae spp. (Fossils are not subject to the provisions of the Convention)	
FLORA (PLANTS)			
AGAVACEAE Agaves			
	Agave parviflora	Agave victoriaereginae ^{3#4} Nolina interrata Yucca queretaroensis	
AMARYLLIDACEAE Snowdrops, stembergias			
		Galanthus spp. #4 Sternbergia spp. #4	
ANACARDIACEAE			
Cashews			
		Operculicarya decaryi	

^{3 *4} All parts and derivatives, except:

- a) seeds (including seedpods of Orchidaceae), spores and pollen (including pollinia). The exemption does not apply to seeds from Cactaceae spp. exported from Mexico, and to seeds from Beccariophoenix madagascariensis and Dypsis decaryi exported from Madagascar;
 b) seedling or tissue cultures obtained in vitro, in solid or liquid media, transported in sterile containers;
 c) cut flowers of artificially propagated plants;

- d) fruits, and parts and derivatives thereof, of naturalized or artificially propagated plants of the genus
- Vanilla (Orchidaceae) and of the family Cactaceae; stems, flowers, and parts and derivatives thereof, of naturalized or artificially propagated plants of the genera *Opuntia* subgenus *Opuntia* and *Selenicereus* (Cactaceae); and finished products of *Aloe ferox* and *Eupho*rbia antisyphilitica packaged and ready for retail trade.

		Appendices	
	I	II	III
		Operculicarya	
		hyphaenoides	
		Operculicarya	
		pachypus	
APOCYNACEAE			
Elephant trunks, hoodias		T. 1: 440	
		Hoodia spp. 4#9	
T A PARTIES OF THE PA		Pachypodium	
		spp. #4 (Except the species included in	
		Appendix I)	
	Pachypodium	777	
	ambongense		
	Pachypodium baronii		
	Pachypodium decaryi		
	,,,	Rauvolfia	
		serpentina 5#2	
ARALIACEAE Ginseng		·····	
		Panax ginseng 6#3	
		(Only the population	
		of the Russian	
		Federation; no other	
		population is included in the Appendices)	
		Panax	
		quinquefolius #3	
ARAUCARIACEAE		i	
Monkey-puzzle trees			
	Araucaria araucana		
ASPAR AGACE AE			
Includes ponytail palms			
Marian Land All All All All All All All All All Al		Beaucarnea spp.	acertures and Article Artifle Control Control
BERBERIDACEAE			
May-apple			
		Podophyllum	
		hexandrum #2	
BROMELIACEAE			
Air plants, bromelias	<u> </u>		

- a) b) 6
- All parts and derivatives except.
 seeds and pollen; and
 finished products packaged and ready for retail trade.
 Whole and sliced roots and parts of roots, excluding manufactured parts or derivatives, such as powders, pills, extracts, tonics, teas and confectionery.

All parts and derivatives except those bearing a label:

"Produced from Hoodia spp. material obtained through controlled harvesting and production under the terms of an agreement with the relevant CITES Management Authority of [Botswana under agreement No. BW/xxxxxxx] [Namibia under agreement No. NA/xxxxxxx] [South Africa under agreement No. ZA/xxxxxx].

All parts and derivatives except:

		Appendices	
	I	II	III
	S 10 10 10 10 10 10 10 10 10 10 10 10 10	Tillandsia harrisii 7#4	
		Tillandsia kammii #4	
		Tillandsia	
		xerographica #4	
CACTACEAE Cacti		990 m 64 m 54 may maa m 64 m 66 m 540 salah maan 64 an 64 m 54 jaal 12 maan 79	and Colonia Colonia di Amerika di Associa di Associa di Associa di Associa di Associa di Associa di Associa di
		CACTACEAE	
		spp.89 9#4 (Except	
		the species included	
		in Appendix I and	
		except Pereskia spp.,	
		Pereskiopsis spp. and	
		Quiabentia spp.)	
	Ariocarpus spp.		
	Astrophytum asterias		
	Aztekium ritteri		
	Coryphantha		
	werdermannii		
	Discocactus spp.		
	Echinocereus ferreiranus		
	ssp. lindsayorum		
	Echinocereus schmollii		
	Escobaria minima		

- 7 * All parts and derivatives, except:
 - seeds (including seedpods of Orchidaceae), spores and pollen (including pollinia). The exemption does not apply to seeds from Cactaceae spp. exported from Mexico, and to seeds from Beccariophoenix madagascariensis and Dypsis decaryi exported from Madagascar;
 - seedling or tissue cultures obtained in vitro, in solid or liquid media, transported in sterile containers;
 - cut flowers of artificially propagated plants;
 - fruits, and parts and derivatives thereof, of naturalized or artificially propagated plants of the genus Vanilla (Orchidaceae) and of the family Cactaceae;
 - stems, flowers, and parts and derivatives thereof, of naturalized or artificially propagated plants of the genera Opuntia subgenus Opuntia and Selenicereus (Cactaceae); and
 - f)finished products of Aloe ferox and Euphorbia antisyphilitica packaged and ready for retail trade.
- ⁹ Artificially propagated specimens of the following hybrids and/or cultivars are not subject to the provisions of the Convention:
 - Hatiora x graeseri
 - Schlumbergera x buckleyi
 - Schlumbergera russelliana x Schlumbergera truncata
 - Schlumbergera orssichiana x Schlumbergera truncata
 - Schlumbergera opuntioides x Schlumbergera truncata
 - Schlumbergera truncata (cultivars)
 - Cactaceae spp. colour mutants grafted on the following grafting stocks: Harrisia 'Jusbertii', Hylocereus trigonus or Hylocereus undatus
- Opuntia microdasys (cultivars).
 All parts and derivatives, except:
 - seeds (including seedpods of Orchidaceae), spores and pollen (including pollinia). The exemption a) does not apply to seeds from Cactaceae spp. exported from Mexico, and to seeds from Beccariophoenix madagascariensis and Dypsis decaryi exported from Madagascar;
 - seedling or tissue cultures obtained *in vitro*, in solid or liquid media, transported in sterile containers; b)
 - cut flowers of artificially propagated plants;
 - d) fruits, and parts and derivatives thereof, of naturalized or artificially propagated plants of the genus Vanilia (Orchidaceae) and of the family Cactaceae;
 - stems, flowers, and parts and derivatives thereof, of naturalized or artificially propagated plants of the e) genera Opuntia subgenus Opuntia and Selenicereus (Cactaceae); and
 - finished products of Aloe ferox and Euphorbia antisyphilitica packaged and ready for retail trade.

		Appendices	
	I	II	III
	Escobaria sneedii		
	Mammillaria pectinifera		
	(includes ssp. solisioides)		
	Melocactus conoideus		
	Melocactus deinacanthus		
	Melocactus glaucescens		
	Melocactus paucispinus		
	Obregonia denegrii		
	Pachycereus militaris		
	Pediocactus bradyi		
	Pediocactus knowltonii		
	Pediocactus paradinei		
	Pediocactus peeblesianus		
	Pediocactus sileri		
	Pelecyphora spp.		
	Sclerocactus blainei		
	Sclerocactus brevihamatus		
	ssp. tobuschii		
	Sclerocactus brevispinus		
	Sclerocactus cloverae		
	Sclerocactus		
	erectocentrus		
	Sclerocactus glaucus		
	Sclerocactus		
	mariposensis		
	Sclerocactus mesaeverdae		
	Sclerocactus nyensis		
	Sclerocactus papyracanthus		
	Sclerocactus pubispinus		
	Sclerocactus sileri		
	Sclerocactus wetlandicus		
	Sclerocactus wrightiae		
	Strombocactus spp.		
	Turbinicarpus spp.		
	Uebelmannia spp.		
CARYOCARACEAE	spin		
Ajo			
·		Caryocar	
		costaricense 10#4	

All parts and derivatives, except:

 seeds (including seedpods of Orchidaceae), spores and pollen (including pollinia). The exemption does not apply to seeds from Cactaceae spp. exported from Mexico, and to seeds from Beccariophoenix madagascariensis and Dypsis decaryi exported from Madagascar;
 seedling or tissue cultures obtained in vitro, in solid or liquid media, transported in sterile containers;
 cut flowers of artificially propagated plants;

		Appendices	
	I	II	III
COMPOSITAE		9641	
(Asteraceae) Kuth			
	Saussurea costus		
CUCURBITACEAE			
Melons, gourds,			
cucurbits			
		Zygosicyos	
		pubescens	
	<u> </u>	Zygosicy os tripartitus	
CUPRESSACEAE			
Alerce, cypresses		·	
	Fitzroya cupressoides		
	Pilgerodendron uviferum		
		Widdringtonia whytei	
CYATHEACEAE			
Tree-ferns			
		Cyathea spp. #4	
CYCADACEAE Cycads			
······································		CYCADACEAE	
		spp. #4 (Except the	
		species included in	
		Appendix I)	
	Cycas beddomei		
DICKSONIACEAE			
Tree-ferns			
		Cibotium barometz #4	
		Dicksonia spp. #4	
		(Only the populations	
		of the Americas;	
		no other population	
		is included in the Appendices)	
DIDIEDEACEAE		Appendices)	
DIDIEREACEAE Alluaudias, didiereas			
Amadulas, uluicicas		DIDIEREACEAE	
		spp. #4	
DIOSCOREACEAE	<u> </u>	~ P P'	
Elephant's foot, kniss			
1		Dioscorea deltoidea	
		11#4	

fruits, and parts and derivatives thereof, of naturalized or artificially propagated plants of the genus

Vanilia (Orchidaceae) and of the family Cactaceae; stems, flowers, and parts and derivatives thereof, of naturalized or artificially propagated plants of the genera *Opuntia* subgenus *Opuntia* and *Selenicereus* (Cactaceae); and

finished products of Aloe ferox and Euphorbia antisyphilitica packaged and ready for retail trade.

^{11 *4} All parts and derivatives, except:

a) seeds (including seedpods of Orchidaceae), spores and pollen (including pollinia). The exemption does not apply to seeds from Cactaceae spp. exported from Mexico, and to seeds from Beccariophoenix madagascariensis and Dypsis decaryi exported from Madagascar;

		Appendices	
	I	II	III
DROSERACEAE	***		
Venus' flytrap			
		Dionaea muscipula #4	
EBENACE AE Ebonies		1	
		Diospyros spp. 12#5	
		(Populations of	
		Madagascar)	
EUPHORBIACEAE		<u>9</u>	l
Spurges			
1 2		Euphorbia spp.	
		#4 (Succulent	
		species only except	
		Euphorbia misera and	
		the species included	
		in Appendix I.	
		Artificially	
		propagated specimens	
		of cultivars of	
		Euphorbia trigona,	
		artificially propagated	
		specimens of crested,	
		fan-shaped or colour	
		mutants of Euphorbia	
		lactea, when grafted on artificially	
		propagated root	
		stock of Euphorbia	
		neriifolia, and	
		artificially propagated	
		specimens of cultivars	
		of Euphorbia 'Milii'	
		when they are	
		traded in shipments	
		of 100 or more	
		plants and readily	
		recognizable as	
		artificially propagated	
		specimens, are	
		not subject to the	
		provisions of the	
	_ 5 57	Convention)	
	Euphorbia		
	ambovombensis		

seedling or tissue cultures obtained *in vitro*, in solid or liquid media, transported in sterile containers; cut flowers of artificially propagated plants; fruits, and parts and derivatives thereof, of naturalized or artificially propagated plants of the genus *Vanilla* (Orchidaceae) and of the family Cactaceae; d)

stems, flowers, and parts and derivatives thereof, of naturalized or artificially propagated plants of the genera Opuntia subgenus Opuntia and Selenicereus (Cactaceae); and f)finished products of Aloe ferox and Euphorbia antisyphilitica packaged and ready for retail trade.

Logs, sawn wood and veneer sheets.

		Appendices	
	I	II	III
	Euphorbia capsaintemariensis		
	Euphorbia cremersii (Includes the <i>forma</i>		
	viridifolia and the var. rakotozafyi)		
	Euphorbia cylindrifolia (Includes the ssp. tuberifera)		
	Euphorbia decaryi (Includes the vars. ampanihyensis, robinsonii and spirosticha)		
	Euphorbia francoisii Euphorbia moratii		
	(Includes the vars. antsingiensis,		
	bemarahensis and multiflora)		
	Euphorbia parvicyathophora		
	Euphorbia quartziticola Euphorbia tulearensis		
FAGACEAE Beeches		······	·····
			Quercus mongolica ^{13#5} (Russian Federation)
FOUQUIERIACEAE Ocotillos		!	
		Fouquieria columnaris 14#4	
	Fouquieria fasciculata		
GNETACEAE Gnetums	Fouquieria purpusii		<u>i</u>

^{13 #5} Logs, sawn wood and veneer sheets.

- All parts and derivatives, except: a) seeds (including seedpods of Orchidaceae), spores and pollen (including pollinia). The exemption does not apply to seeds from Cactaceae spp. exported from Mexico, and to seeds from Beccariophoenix madagascariensis and Dypsis decaryi exported from Madagascar;
 - b) seedling or tissue cultures obtained in vitro, in solid or liquid media, transported in sterile containers;
 - cut flowers of artificially propagated plants;
 - fruits, and parts and derivatives thereof, of naturalized or artificially propagated plants of the genus Vanilla (Orchidaceae) and of the family Cactaceae; d)
 - e) stems, flowers, and parts and derivatives thereof, of naturalized or artificially propagated plants of the genera Opuntia subgenus Opuntia and Selenicereus (Cactaceae); and
 - finished products of Aloe ferox and Euphorbia antisyphilitica packaged and ready for retail trade.

		Appendices	
	I	II	III
			Gnetum montanum ^{15#1} (Nepal)
JUGLANDACEAE Gavilan			4->
		Oreomunnea pterocarpa ^{16#4}	
LAURACEAE Laurels			
		Aniba rosaeodora 17#12	
LEGUMINOSAE (Fabaceae) Afrormosia, cristobal, palisander, rosewood, sandalwood			
		Dalbergia spp. 18#15 (except for the species listed in Appendix I)	
	Dalbergia nigra		
			Dipteryx panamensis (Costa Rica, Nicaragua)
		Guibourtia demeusei	
		Guibourtia pellegriniana #15	

- 15 #1 All parts and derivatives, except:
 - seeds, spores and pollen (including pollinia);
 - b) seedling or tissue cultures obtained in vitro, in solid or liquid media, transported in sterile containers;
 - cut flowers of artificially propagated plants; and
 - fruits, and parts and derivatives thereof, of artificially propagated plants of the genus Vanilla. d)
- ^{#4} All parts and derivatives, except: 16
 - seeds (including seedpods of Orchidaceae), spores and pollen (including pollinia). The exemption a) does not apply to seeds from Cactaceae spp. exported from Mexico, and to seeds from Beccariophoenix madagascariensis and Dypsis decaryi exported from Madagascar; seedling or tissue cultures obtained in vitro, in solid or liquid media, transported in sterile containers;
 - bì
 - cut flowers of artificially propagated plants;
 - fruits, and parts and derivatives thereof, of naturalized or artificially propagated plants of the genus Vanilia (Orchidaceae) and of the family Cactaceae; stems, flowers, and parts and derivatives thereof, of naturalized or artificially propagated plants of
 - e) the genera *Opuntia* subgenus *Opuntia* and *Selenicereus* (Cactaceae); and finished products of *Aloe ferox* and *Euphorbia a*ntisyphilitica packaged and ready for retail trade.

 Logs, sawn wood, veneer sheets, plywood and extracts. Finished products containing
- such extracts as ingredients, including fragrances, are not considered to be covered by this annotation.

 ***IS All parts and derivatives, except:
- Leaves, flowers, pollen, fruits, and seeds;
 - Finished products to a maximum weight of wood of the listed species of up to 10 kg per shipment;
 - Finished musical instruments, finished musical instrument parts and finished musical instrument
 - Parts and derivatives of ${\it Dalbergia\ cochinchinensis},\ {\it Which\ are\ covered\ by\ Annotation\ \#\ 4;}$ and
 - Parts and derivatives of Dalbergia spp. originating and exported from Mexico, which are covered by Annotation # 6.

		Appendices	
	I	II	III
		Guibourtia	
		tessmannii #15	
		Paubrasilia	
		echinata 19#10	
		Pericopsis elata 20#17	
		Platymiscium parviflorum ^{21#4}	
		Pterocarpus erinaceus	
		Pterocarpus	
		santalinus ^{22#7}	
		Pterocarpus	
		tinctorius ^{23#6}	
		Senna meridionalis	
LILIACEAE Aloes		·	,
		Aloe spp. #4 (Except	
		the species included	
		in Appendix I. Also excludes <i>Aloe vera</i> ,	
		also referenced as	
		Aloe barbadensis	
		which is not included	
	20000	in the Appendices)	
	Aloe albida		
	Aloe albiflora		
	Aloe alfredii		
	Aloe bakeri		
	Aloe bellatula		
	Aloe calcairophila		
	Aloe compressa (Includes		
	the vars. paucituberculata,		
	rugosquamosa and schistophila)		
ļ	Aloe delphinensis		
	Aloe descoingsii		
<u> </u>	Aloc desconigsii	l	L

- #10 Logs, sawn wood, veneer sheets, including unfinished wood articles used for the fabrication of bows for stringed musical instruments.
- Logs, sawn wood, veneer sheets, plywood and transformed wood.
- Logs, sawn wood, veneer sneeds, pyrosa and pollen (including pollinia). The exemption does not apply to seeds from Cactaceae spp. exported from Mexico, and to seeds from Beccariophoenix madagascariensis and Dypsis decaryi exported from Madagascar;

 - cut flowers of artificially propagated plants; fruits, and parts and derivatives thereof, of naturalized or artificially propagated plants of the genus d) Vanilla (Orchidaceae) and of the family Cactaceae;
 - stems, flowers, and parts and derivatives thereof, of naturalized or artificially propagated plants of the genera Opuntia subgenus Opuntia and Selenicereus (Cactaceae); and
- f) finished products of *Aloe ferox* and Euphorbia antisyphilitica packaged and ready for retail trade.

 22 #7 Logs, woodchips, powder and extracts.

 23 #8 Logs, sawn wood, veneer sheets and plywood.

		Appendices	
	I	II	III
	Aloe fragilis		
	Aloe haworthioides		
	(Includes the var.		
	aurantiaca)		
	Aloe helenae		
	Aloe laeta (Includes the var. maniaensis)		
	Aloe parallelifolia		
	1		
	Aloe parvula		
	Aloe pillansii		
	Aloe polyphylla		
	Aloe rauhii		
	Aloe suzannae		
	Aloe versicolor		
	Aloe vossii	<u> </u>	
MAGNOLIACEAE			
Magnolias		I	Magnolia liliifera
			var. obovata 24#1
			(Nepal)
MALVACEAE		1	I
Includes baobabs			
This 64 min 64 min 64 min 64 min 64 min 66 min 66 min 64 m		Adansonia	Court Court to the old must be much court court to a section to a court court court.
		grandidieri ^{25#16}	
MELIACEAE			
Mahoganies, West Indian cedar			
west indian cedar	 	C - 1 - 1 76#6	I
		Cedrela spp. ^{26#6} ^{(P} opulations of the	
		Neotropics) (Entry	
		into effect delayed by	
		12 months, i.e. until	
		28 August 2020)	
			Cedrela fissilis
			^{27#5} (Plurinational State of Bolivia,
			Brazil) (To be
			deleted on 28
			August 2020)

^{24 **} All parts and derivatives, except:
a) seeds, spores and pollen (including pollinia);
b) seedling or tissue cultures obtained *in vitro*, in solid or liquid media, transported in sterile containers;
c) cut flowers of artificially propagated plants; and
d) fruits, and parts and derivatives thereof, of artificially propagated plants of the genus *Vanilia*.

d) fruits, and parts and derivatives thereof, of an Seeds, fruits and oils. Logs, sawn wood, veneer sheets and plywood. Logs, sawn wood and veneer sheets.

		Appendices	
	I	II	III
		Swietenia humilis ^{22#4} Swietenia macrophylla ^{#6} (Populations of the Neotropics) Swietenia	Cedrela lilloi #5 (Plurinational State of Bolivia, Brazil) (To be deleted on 28 August 2020) Cedrela odorata #5 (Brazil and the Plurinational State of Bolivia. In addition, the following countries have listed their national populations: Colombia, Guatemala and Peru) (To be deleted on 28 August 2020)
400 2000000		mahagoni #5	
NEPENTHACEAE Pitcher-plants (Old World)			
		Nepenthes spp. 29#4 (Except the species included in Appendix I)	

- *4 All parts and derivatives, except:
 - seeds (including seedpods of Orchidaceae), spores and pollen (including pollinia). The exemption does not apply to seeds from Cactaceae spp. exported from Mexico, and to seeds from Beccariophoenix madagascariensis and Dypsis decaryi exported from Madagascar:
 - seedling or tissue cultures obtained *in vitro*, in solid or liquid media, transported in sterile containers;
 - cut flowers of artificially propagated plants;
 - fruits, and parts and derivatives thereof, of naturalized or artificially propagated plants of the genus Vanilia (Orchidaceae) and of the family Cactaceae;
 - stems, flowers, and parts and derivatives thereof, of naturalized or artificially propagated plants of the genera *Opuntia* subgenus *Opuntia* and *Selenicereus* (Cactaceae); and e)
 - finished products of Aloe ferox and Euphorbia antisyphilitica packaged and ready for retail trade.
- 29
- f) finished products of All parts and derivatives, except: seeds (including seedpods of Orchidaceae), spores and pollen (including pollinia). The exemption does not apply to seeds from Cactaceae spp. exported from Mexico, and to seeds from Beccariophoenix madagascariensis and Dypsis decaryi exported from Madagascar;
 - seedling or tissue cultures obtained in vitro, in solid or liquid media, transported in sterile containers;
 - cut flowers of artificially propagated plants;
 - fruits, and parts and derivatives thereof, of naturalized or artificially propagated plants of the genus Vanilla (Orchidaceae) and of the family Cactaceae; d)

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Controlled Wildlife Products and Trade Act 9 of 2008

Schedule 3: Appendices to Convention

		Appendices	
	I	II	III
	Nepenthes khasiana		
	Nepenthes rajah		
OLEACEAE Ashes, etc.			
			Fraxinus mandshurica 30#5 (Russian Federation)
ORCHIDACEAE Orchids		1	
	(For all of the following AppendixI species, seedling or tissue cultures obtained in vitro, in solid or liquid media, and transported in sterile containers are not subject to the provisions of the Convention only if the specimens meet the definition of 'artificially propagated' agreed by the Conference of the Parties) Aerangis ellisii Cattleya jongheana Cattleya lobata	ORCHIDACEAE spp. 3110 #4 (Except the species included in Appendix I)	

- stems, flowers, and parts and derivatives thereof, of naturalized or artificially propagated plants of the genera Opuntia subgenus Opuntia and Selenicereus (Cactaceae); and
- finished products of Aloe ferox and Euphorbia antisyphilitica packaged and ready for retail trade.

*5 Logs, sawn wood and veneer sheets.

- 10 Artificially propagated hybrids of the following genera are not subject to the provisions of the Convention, if conditions, as indicated under a) and b), are met: Cymbidium, Dendrobium, Phalaenopsis
 - Specimens are readily recognizable as artificially propagated and do not show any signs of having been collected in the wild such as mechanical damage or strong dehydration resulting from collection, irregular growth and heterogeneous size and shape within a taxon and shipment, algae or other epiphyllous organisms adhering to leaves, or damage by insects or other
 - pests; and i) when shipped in non-flowering state, the specimens must be traded in shipments consisting of individual containers (such as cartons, boxes, crates or individual shelves of CC-containers) each containing 20 or more plants of the same hybrid; the plants within each container must exhibit a high degree of uniformity and healthiness; and the shipment must be accompanied by documentation, such as an invoice, which clearly states the number of plants of
 - each hybrid; or when shipped in flowering state, with at least one fully open flower per specimen, no minimum number of specimens per shipment is required but specimens must be professionally processed for commercial retail sale, e.g. labelled with printed labels or packaged with printed packages indicating the name of the hybrid and the country of final processing. This should be clearly visible and allow easy verification.

Plants not clearly qualifying for the exemption must be accompanied by appropriate CITES

documents.

		Appendices	
	I	II	III
	Dendrobium cruentum		
	Mexipedium xerophyticum		
	Paphiopedilum spp.		
	Peristeria elata		
	Phragmipedium spp.		
	Renanthera imschootiana		
OROBANCHACEAE Broomrapes			
		Cistanche deserticola 32#4	
PALMAE (Arecaceae) Palms			
		Beccariophoenix madagascariensis #4	
	Dypsis decipiens	Dypsis decaryi #4 Lemurophoenix halleuxii	
		indicuali	Lodoicea maldivica 33#13 (Seychelles)
		Marojejya darianii	
		Ravenea louvelii	
		Ravenea rivularis	
		Satranala decussilvae	
		Voanioala gerardii	
PAPAVERACEAE Poppy			
			Meconopsis regia ^{3#1} (Nepal)

³² #4 All parts and derivatives, except:

- seeds (including seedpods of Orchidaceae), spores and pollen (including pollinia). The exemption does not apply to seeds from Cactaceae spp. exported from Mexico, and to seeds from Beccariophoenix madagascariensis and Dypsis decaryi exported from Madagascar;
- seedling or tissue cultures obtained in vitro, in solid or liquid media, transported in sterile containers;
- cut flowers of artificially propagated plants; fruits, and parts and derivatives thereof, of naturalized or artificially propagated plants of the genus d) Vanilia (Orchidaceae) and of the family Cactaceae;
- stems, flowers, and parts and derivatives thereof, of naturalized or artificially propagated plants of the genera *Opuntia* subgenus *Opuntia* and *Selenicereus* (Cactaceae); and finished products of *Aloe ferox* and *Eup*horbia antisyphilitica packaged and ready for retail trade. The kernel (also known as 'endosperm', 'pulp' or 'copra') and any derivative thereof.
- #13
- #1 All parts and derivatives, except:
 - seeds, spores and pollen (including pollinia);
 - seedling or tissue cultures obtained *in vitro*, in solid or liquid media, transported in sterile containers; cut flowers of artificially propagated plants; and fruits, and parts and derivatives thereof, of artificially propagated plants of the genus *Vanilia*. b)

		Appendices	
	I	II	III
PASSIFLORACEAE Passion-flowers			
		Adenia firingalavensis Adenia olaboensis	
		A denia subsessilifolia	
PEDALIACEAE Sesames			
		Uncarina grandidieri Uncarina stellulifera	
PINACEAE Firs and pines		Olivarina sentino a	I
	Abies guatemalensis		Pinus koraiensis ^{35#3} (Russian Federation)
PODOCARPACEAE Podocarps			A
	Podocarpus parlatorei		Podocarpus neriifolius #1 (Nepal)
PORTULACACEAE Lewisias, portulacas, purslanes	T cuccupus parametri		I
		Anacampseros spp. 36#4 Avonia spp. #4 Lewisia serrata #4	
PRIMULACEAE Cyclamens			1

^{35 *5} Logs, sawn wood and veneer sheets. 36 *4 All parts and derivatives, except:

a) seeds (including seedpots of Orchidaceae), spores and pollen (including pollinia). The exemption does not apply to seeds from Cactaceae spp. exported from Mexico, and to seeds from Beccariophoenix madagascariensis and Dypsis decaryi exported from Madagascar; seedling or tissue cultures obtained in vitro, in solid or liquid media, transported in sterile containers; cut flowers of artificially propagated plants; fruits, and parts and derivatives thereof, of naturalized or artificially propagated plants of the genus

Vanilla (Orchidaceae) and of the family Cactaceae;

stems, flowers, and parts and derivatives thereof, of naturalized or artificially propagated plants of

the genera *Opuntia* subgenus *Opuntia* and *Selenicereus* (Cactaceae); and finished products of *Aloe ferox* and *Euphorbia antis*yphilitica packaged and ready for retail trade. f)

		Appendices	
	I	II	III
		Cyclamen spp. 3711 #4	
RANUNCULACEAE			
Golden seals, yellow			
adonis, yellow root	 	Adonis vernalis 38#2	
		- i	
		Hydrastis canadensis	
ROSACEAE African			
cherry, stinkwood			
		Prunus africana #4	
RUBIACEAE Ayugue	<u> </u>		
	Balmea stormiae	<u> </u>	
SANTALACEAE Sandalwoods			
		Osyris lanceolata	
		#2 (Populations of	
		Burundi, Ethiopia, Kenya, Rwanda,	
		Uganda and the	
		United Republic of	
		Tanzania)	
SARRACENIACEAE			
Pitcher-plants (New World)			
(New World)		Sarracenia spp. 40#4	
		(Except the species	
		included	
		in Appendix I)	
	Sarracenia oreophila	<u> </u>	
	Sarracenia rubra ssp.		
	alabamensis		
	Sarracenia rubra ssp.		
	jonesii		

- Artificially propagated specimens of cultivars of Cyclamen persicum are not subject to the provisions of the Convention. However, the exemption does not apply to such specimens traded as dormant tubers.
- *2 All parts and derivatives except: 38
- a) seeds and pollen; and
 b) finished products packaged and ready for retail trade.
 Underground parts (i.e. roots, rhizomes): whole, parts and powdered.
 All parts and derivatives, except: 39
- - seeds (including seedpods of Orchidaceae), spores and pollen (including pollinia). The exemption does not apply to seeds from Cactaceae spp. exported from Mexico, and to seeds from Beccariophoenix madagascariensis and Dypsis decaryi exported from Madagascar; seedling or tissue cultures obtained in vitro, in solid or liquid media, transported in sterile containers;
 - b)
 - cut flowers of artificially propagated plants;
 - d) fruits, and parts and derivatives thereof, of naturalized or artificially propagated plants of the genus Vanilia (Orchidaceae) and of the family Cactaceae; stems, flowers, and parts and derivatives thereof, of naturalized or artificially propagated plants of
 - e) the genera Opuntia subgenus Opuntia and Selenicereus (Cactaceae); and
 - finished products of Aloe ferox and Euphorbia antisyphilitica packaged and ready for retail trade.

		Appendices	
	I	II	III
SCROPHULARIACEAE Kutki			
		Picrorhiza kurrooa ^{41#2} (Excludes <i>Picrorhiza</i> scrophulariiflora)	
STANGERIACEAE Stangerias			
	Stangeria eriopus	Bowenia spp. #4	
TAXACEAE Himalayan yew			
		Taxus chinensis and infraspecific taxa of this species #2	
		Taxus cuspidata and infraspecific taxa of this species 4212 #2	
		Taxus fuana and infraspecific taxa of this species #2	
		Taxus sumatrana and infraspecific taxa of this species #2	
		Taxus wallichiana #2	
THYMELAEACEAE (Aquilariaceae) Agarwood, ramin			
		Aquilaria spp. 43#14	
		Gonystylus spp. 44#4	

- 41 #2 All parts and derivatives except:
 - seeds and pollen; and
- finished products packaged and ready for retail trade.

 Artificially propagated hybrids and cultivars of Taxus cuspidata, live, in pots or other small containers, 42 each consignment being accompanied by a label or document stating the name of the taxon or taxa and the text 'artificially propagated', are not subject to the provisions of the Convention.

 **14 All parts and derivatives except:
- 43
 - seeds and pollen:
 - seedling or tissue cultures obtained in vitro, in solid or liquid media, transported in sterile containers; b)
 - c)
 - d) leaves;
 - e)
 - exhausted agarwood powder, including compressed powder in all shapes; and finished products packaged and ready for retail trade, this exemption does not apply to wood chips, beads, prayer beads and carvings.
- All parts and derivatives, except:
 - seeds (including seedpods of Orchidaceae), spores and pollen (including pollinia). The exemption does not apply to seeds from Cactaceae spp. exported from Mexico, and to seeds from Beccariophoenix madagascariensis and Dypsis decaryi exported from Madagascar; seedling or tissue cultures obtained in vitro, in solid or liquid media, transported in sterile
 - b)
 - cut flowers of artificially propagated plants;
 - fruits, and parts and derivatives thereof, of naturalized or artificially propagated plants of the genus *Vanilla* (Orchidaceae) and of the family Cactaceae;

		Appendices	
	I	II	III
		Gyrinops spp. #14	
TROCHODENDRACEAE (Tetracentraceae) Tetracentron			
			Tetracentron sinense 45#1 (Nepal)
VALERIANACEAE Himalayan spikenard			
		Nardostachys grandiflora ^{46#2}	
VITACEAE Grapes			
		Cyphostemma elephantopus	
		Cyphostemma laza Cyphostemma montagnacii	
WELWITSCHIACEAE Welwitschia			***************************************
		Welwitschia mirabilis	
ZAMIACEAE Cycads			
		ZAMIACEAE spp. ^{#4} (Except the species included in Appendix I)	
	Ceratozamia spp.		
	Encephalartos spp.		

- stems, flowers, and parts and derivatives thereof, of naturalized or artificially propagated plants of the genera *Opuntia* subgenus *Opuntia* and *Selenicereus* (Cactaceae); and finished products of *Aloe ferox* and *Euphorbia an* tisyphilitica packaged and ready for retail trade.
- f) finished products of *Aloe ferd***All parts and derivatives, except:
- 45
 - seeds, spores and pollen (including pollinia);
 - seedling or tissue cultures obtained in vitro, in solid or liquid media, transported in sterile containers;
 - cut flowers of artificially propagated plants; and
 - fruits, and parts and derivatives thereof, of artificially propagated plants of the genus d) Vanilla.
- 46 #2 All parts and derivatives except:
 - seeds and pollen; and
- 47
- b) finished products packaged and ready for retail trade.
 ** All parts and derivatives, except:
 a) seeds (including seedpods of Orchidaceae), spores and pollen (including pollinia). The exemption does not apply to seeds from Cactaceae spp. exported from Mexico, and to seeds from Beccariophoenix madagascariensis and Dypsis decaryi exported from Madagascar;
 - seedling or tissue cultures obtained in vitro, in solid or liquid media, transported in sterile containers;

 - cut flowers of artificially propagated plants; fruits, and parts and derivatives thereof, of naturalized or artificially propagated plants of the genus d) Vanilia (Orchidaceae) and of the family Cactaceae;
 - e) stems, flowers, and parts and derivatives thereof, of naturalized or artificially propagated plants of the genera *Opuntia* subgenus *Opuntia* and *Selenicereus* (Cactaceae); and finished products of *Aloe ferox* and *Euphorbia an* tisyphilitica packaged and ready for retail trade.
 - f)

		Appendices	
	I	II	III
	Microcycas calocoma		
	Zamia restrepoi		
ZINGIBERACEAE Ginger lily, Natal ginger			
		Hedychium philippinense #4	
		Siphonochilus	
		aethiopicus	
		(Populations of	
		Mozambique, South	
		Africa, Swaziland	
		and Zimbabwe)	
ZYGOPHYLLACEAE			
Lignum-vitae			
		Bulnesia	
		sarmientoi 48#11	
		Guaiacum spp. 49#2	
	1	1	

Logs, sawn wood, veneer sheets, plywood, powder and extracts. Finished products containing such extracts as ingredients, including fragrances, are not considered to be covered by this annotation.

All parts and derivatives except:

a) seeds and pollen; and

b) finished products packaged and ready for retail trade.